

At: Aelodau'r Pwyllgor Archwilio
Perfformiad

Dyddiad: 20 Ionawr 2017

Rhif Union: 01824 712554

ebost: democrataidd@sirddinbych.gov.uk

Annwyl Gyngorydd

Fe'ch gwahoddir i fynychu cyfarfod y **PWYLLGOR ARCHWILIO PERFFORMIAD, DYDD IAU, 26 IONAWR 2017 am 9.30 am yn YSTAFELL BWYLLGORA 1A, NEUADD Y SIR, RHUTHUN.**

Yn gywir iawn

G Williams
Pennaeth Gwasanaethau Cyfreithiol, AD a Democrataidd

AGENDA

RHAN 1 – GWAHODDIR Y WASG A'R CYHOEDD I FOD YN BRESENNOL AR GYFER Y RHAN HON O'R CYFARFOD

1 YMDDIHEURIADAU

2 DATGAN CYSYLLTIAD (Tudalennau 5 - 6)

Dylai'r Aelodau ddatgan unrhyw gysylltiad personol neu gysylltiad sy'n rhagfarnu ag unrhyw fater a nodwyd yn un i'w ystyried yn y cyfarfod hwn.

3 MATERION BRYD FEL Y'U CYTUNWYD GAN Y CADEIRYDD

Rhybudd o eitemau y dylid, ym marn y Cadeirydd, eu hystyried yn y cyfarfod fel materion brys yn unol ag Adran 100B(4) Deddf Llywodraeth Leol 1972.

4 CANLYNIADAU ARHOLIADAU CYFNOD ALLWEDDOL 4 (Tudalennau 7 - 22)

I ystyried cyd-adroddiad gan y Prif Reolwr Addysg ac Uwch Ymgynghorydd Herio GwE (copi ynghlwm) yn darparu gwybodaeth ynglŷn â pherfformiad asesiadau athrawon ac arholiadau allanol.

9.35am – 10.15am

5 COFRESR RISG CORFFORAETHOL (Tudalennau 23 - 52)

I ystyried adroddiad gan Reolwr y Tîm Cynllunio Strategol a'r Swyddog Cynllunio Strategol (copi ynghlwm) sy'n rhoi'r wybodaeth ddiweddaraf am Gofrestr Risg Gorfforaethol y Cyngor.

10.15am – 10.45am

EGWYL

6 STRATEGAETH CYNNAL A CHADW PONTYDD (Tudalennau 53 - 70)

I ystyried adroddiad gan yr Uwch Beiriannydd – Gwasanaethau Priffyrdd a'r Amgylchedd (copi ynghlwm) i helpu i ddeall y risgiau yn sgil cyflwr presennol isadeiledd Adeiledd Priffyrdd y Sir, ac i allu archwilio strategaeth arfaethedig y Cyngor i reoli'r risgiau a nodwyd.

11am – 11.45am

7 RHAGLEN WAITH ARCHWILIO (Tudalennau 71 - 88)

I ystyried adroddiad gan y Cydlynnydd Archwilio (copi ynghlwm) yn gofyn am adolygiad o raglen gwaith i'r dyfodol y pwyllgor a rhoi'r diweddaraf i'r aelodau ar faterion perthnasol.

11.45am – 12.15pm

8 ADBORTH GAN GYNRYCHIOLWYR Y PWYLLGOR

I dderbyn unrhyw ddiweddariadau gan gynrychiolwyr y Pwyllgor ar wahanol Fyrddau a Grwpiau'r Cyngor.

AELODAETH

Y Cynghorwyr

Barry Mellor (Cadeirydd)

Meirick Davies
Huw Hilditch-Roberts
Colin Hughes
Geraint Lloyd-Williams

Dewi Owens
Arwel Roberts
Gareth Sandilands
Joe Welch

Aelodau Cyfetholedig dros Addysg sy'n Pleidleisio (Rhifau Eitemau Agenda 4 yn unig)

Jones
John Piper

Gareth Williams

COPIAU I'R:

Holl Gynghorwyr er gwybodaeth
Y Wasg a'r Llyfrgelloedd
Cynghorau Tref a Chymuned

Mae tudalen hwn yn fwriadol wag

DEDDF LLYWODRAETH LEOL 2000

Cod Ymddygiad Aelodau

DATGELU A CHOFRESTRU BUDDIANNAU

Rwyf i,
(enw)

*Aelod /Aelod cyfetholedig o
(*dileuer un)

Cyngor Sir Ddinbych

YN CADARNHAU fy mod wedi datgan buddiant ***personol / personol a sy'n rhagfarnu** nas datgelwyd eisoes yn ôl darpariaeth Rhan III cod ymddygiad y Cyngor Sir i Aelodau am y canlynol:-
(*dileuer un)

Dyddiad Datgelu:

Pwyllgor (nodwch):

Agenda eitem

Pwnc:

Natur y Buddiant:

(Gweler y nodyn isod)*

Llofnod

Dyddiad

Noder: Rhowch ddigon o fanylion os gwelwch yn dda, e.e. 'Fi yw perchennog y tir sy'n gyfagos i'r cais ar gyfer caniatâd cynllunio a wnaed gan Mr Jones', neu 'Mae fy ngŵr / ngwraig yn un o weithwyr y cwmni sydd wedi gwneud cais am gymorth ariannol'.

Mae tudalen hwn yn fwriadol wag

Adroddiad i'r:	Pwyllgor Archwilio Perfformiad
Dyddiad y Cyfarfod:	26 Ionawr 2017
Aelod/Swyddog Arweiniol:	Aelod Arweiniol Addysg / Pennaeth Addysg
Awdur yr Adroddiad:	Prif Reolwr Addysg ac Uwch Ymgynghorydd Herio GwE
Teitl:	Canlyniadau Arholiadau CA4

1. Am beth mae'r adroddiad yn sôn?

Perfformiad wedi'i ddilysu o ganlyniadau arholiadau allanol ysgolion Sir Ddinbych yng Nghyfnod Allweddol 4 ac ôl-16. Mae'r adroddiad hefyd yn dadansoddi canlyniadau yn erbyn gwybodaeth wedi'i meincnodi a pherfformiad yn erbyn awdurdodau lleol eraill.

2. Beth yw'r rheswm dros lunio'r adroddiad hwn?

Darparu gwybodaeth ynglŷn â pherfformiad asesiadau athrawon ac arholiadau allanol ysgolion Sir Ddinbych.

3. Beth yw'r Argymhellion?

Bod yr aelodau'n adolygu a chyflwyno sylwadau ar berfformiad ysgolion yn erbyn y perfformiad blaenorol a'r meincnodau allanol sydd ar gael ar hyn o bryd, a nodi unrhyw feysydd posibl i'w gwella.

4. Canlyniadau Cyfnod Allweddol 4 ac Ôl-16

Prif ddangosydd CA4; cynyddodd sgôr L2+ yn cynnwys Cymraeg / Saesneg a Mathemateg eto yn 2015, fel y gwnaeth y Dangosydd Pynciau Craidd a Lefel 1. Fodd bynnag, roedd gostyngiad bychan yn Lefel 2 a'r sgôr Pwyntiau Ehangach Wedi'u Capio.

**EOTAS – Addysg heblaw yn yr ysgol*

	Heb gynnwys EOTAS 2014		Heb gynnwys EOTAS 2015		Heb gynnwys EOTAS Yn cynnwys EOTAS		2016	Cymru '16
	CSDd %	Safle	CSDd %	Safle	CSDd %	CSDd %		
Lefel 1	95.2	11	94.9	17	96.4	93.7	Safle yn cynnwys EOTAS 20	Yn cynnwys EOTAS 95.3
Lefel 2	88.8	2	86	10	85.9	83.6	14	83.6
Lefel 3 mewn C/S a M	55.6	10	56.1	14	60.9	58.7	13	60.3

Dangosydd Pwnc Craidd	54	10	51.9	15	58.7	56.5	13	57.6
Sgôr Pwyntiau Wedi'u Capio	352	5	345.4	4	348.9	340.4	16	340.4

4.1 Lefel 2 gan gynnwys Cymraeg / Saesneg a Mathemateg

Un o'r dangosyddion perfformiad allweddol ar ddiwedd cyfnod allweddol 4 yw Trothwy Lefel 2 yn cynnwys Cymraeg / Saesneg a Mathemateg sef nifer y disgyblion sy'n ennill 5 TGAU A*-C neu gymwysterau galwedigaethol cyfwerth a graddau TGAU A*-C mewn Cymraeg / Saesneg a Mathemateg.

Am y tro cyntaf eleni, mae addysg heblaw yn yr ysgol (EOTAS) wedi'i gynnwys yn y data cymharol. Mae'r ffigyrau uchod yn dangos perfformiad ALI Sir Ddinbych gan gynnwys a heb gynnwys yr holl EATOS.

Canran y disgyblion sy'n cyrraedd trothwy Lefel 2, gan gynnwys Cymraeg / Saesneg a Mathemateg yw 60.9% yn 2016, sydd 4.8% yn uwch nag yn 2014 a 5.3% yn uwch na 2015. Pan fo gwybodaeth EOTAS wedi'i chynnwys, mae'r ganran hon yn gostwng i 58.7%. Mae hyn 1.6% yn is na chyfartaledd Cymru ac mae'n gosod yr ALI yn 13eg yng Nghymru yn 2016. Mae hyn yn gyfartal â safle disgwylidig prydau ysgol am ddim yr ALI.

Cynyddodd sgôr Lefel 2 yn cynnwys Cymraeg / Saesneg a Mathemateg yn holl ysgolion Sir Ddinbych ac eithrio Ysgol Uwchradd Dinbych ac Ysgol Uwchradd Prestatyn. Roedd Ysgol y Santes Ffraid ac Ysgol Uwchradd y Rhyl yn y chwarter 1af wrth eu cymharu gydag ysgolion tebyg, ac roedd Ysgol Uwchradd Dinbych, Prestatyn, Ysgol y Bendigaidd Edward Jones ac Ysgol Glan Clwyd yn y 3ydd chwarter. Ni osodwyd unrhyw ysgol yn Sir Ddinbych yn y 4ydd chwarter yn 2016.

	2014	2015	2016	Gwahaniaeth 2015-16	Chwartelau
Ysgol y Santes Ffraid	78	70	83	+13%	1af
Ysgol Uwchradd Dinbych	58	58	55	-3%	3ydd
Ysgol Dinas Brân	60	69	70	+1%	2il
Ysgol Uwchradd Prestatyn	51	58	54	-4%	3ydd
Ysgol y Bendigaidd Edward Jones	39	33	41	+8%	3ydd
Ysgol Uwchradd y Rhyl	45	39	54	+15%	1af
Ysgol Brynhyfryd	69	68	79	+11%	2il
Ysgol Glan Clwyd	70	66	70	+4%	3ydd

Cyfanswm canran y disgyblion a gyrhaeddodd y Trothwy Lefel 1 sef 5 TGAU A*-C neu gymwysterau galwedigaethol cyfwerth yn Sir Ddinbych yn 2016 yw 96.4%, sydd 1.5% yn uwch na 2015. Wrth gynnwys EOTAS yn y ffigwr hwn, mae'n gostwng i 93.7%. Mae hyn 1.6% yn is na chyfartaledd Cymru. Dim ond 0.6% o'r dysgwyr a

adawodd heb gymhwyster. Mae hyn 0.3% yn is na chyfartaledd Cymru ac mae'n gosod Sir Ddinbych yn 5ed o gymharu ag ALLau eraill.

4.2 Trothwy Lefel 2 (5 TGAU A*-C neu gymwysterau galwedigaethol cyfwerth)

Trothwy Lefel 2 yw nifer y disgyblion sy'n ennill 5 TGAU A*-C neu gymwysterau galwedigaethol cyfwerth. Canran y disgyblion sydd wedi cyrraedd Trothwy Lefel 2 yw 85.9%, sydd 0.1% yn is na'r llynedd. Wrth gynnwys EOTAS, mae hyn yn gostwng i 82.7%. Mae hyn yn gosod ALI Sir Ddinbych yn 14eg yng Nghymru yn 2016, sydd un lle'n is na safle prydau ysgol am ddim.

Bu i dair ysgol wella yn 2015; Glan Clwyd (97.9%), Ysgol Brynhyfryd (98.1%) ac Ysgol y Santes Ffraid (92.6%). Gostyngodd canlyniadau tair ysgol; Ysgol Gatholig y Bendigaid Edward Jones (-18.5%), Ysgol Uwchradd Dinbych (-4%) ac Ysgol Uwchradd Prestatyn (-5.7%). Roedd tair ysgol yn y chwarter uchaf meincnodedig ar sail prydau ysgol am ddim; Ysgol Dinas Brân, Ysgol Brynhyfryd ac Ysgol Glan Clwyd. Nid oedd unrhyw ysgol yn y 4ydd chwarter ar sail eu prydau ysgol am ddim.

	2014	2015	2016	Gwahaniaeth 2015-15	Chwartelau
Ysgol y Santes Ffraid	88	93	93	-	2
Ysgol Uwchradd Dinbych	84	70	66	-4	3
Ysgol Dinas Brân	99	100	97	-3	1
Ysgol Uwchradd Prestatyn	97	95	89	-6	3
Ysgol y Bendigaid Edward Jones	72	71	51	-20	3
Ysgol Uwchradd y Rhyl	95	89	89	-	2
Ysgol Brynhyfryd	92	85	98	+13	1
Ysgol Glan Clwyd	97	98	98	-	1

Mae disgyblion Ysgol Plas Brondyffryn yn sefyll arholiadau pan fyddant yn barod, sy'n golygu mai ychydig o ddisgyblion sy'n derbyn cymhwyster TGAU yn 15 oed a'u bod yn methu dangosydd perfformiad Llywodraeth Cymru. Eleni, o grŵp o 8 disgybl, roedd 2 ddisgybl wedi cyrraedd Trothwy Lefel 1 ac roedd 100% o'r disgyblion wedi llwyddo i ennill cymhwyster/achrediad cydnabyddedig. Mae 100% o bum disgybl blwyddyn 11 yn Ysgol Tir Morfa wedi derbyn cymhwyster/achrediad cydnabyddedig is na TGAU a Lefel 1.

Mae 12 o 13 o ddisgyblion sy'n blant sy'n derbyn gofal wedi ennill cymhwyster Lefel 1, o leiaf. Cyrhaeddodd un disgybl Drothwy Lefel 2 a chyrhaeddodd 6 disgybl drothwy Lefel 1. Enillodd 13 o ddisgyblion o Ysgol Plas Cefndy (Uned Cyfeirio Disgyblion) 1 cymhwyster Lefel 2, o leiaf, ac fe gyrhaeddodd un disgybl Drothwy Lefel 2+.

4.3 Categori Uwchradd

Mae Llywodraeth Cymru'n defnyddio categorïddio fel dull o ddefnyddio data cenedlaethol ar berfformiad ysgolion mewn cyd-destun er mwyn grwpio ysgolion yn ôl eu sefyllfa o ran gwelliant o'u cymharu ag ysgolion eraill yng Nghymru. Mae ysgolion Categori 1 yn dangos perfformiad a chynnydd da ar y cyfan ac mae'r rhai

yng Nghategori 4 yn dangos perfformiad a chynnydd gwael o'u cymharu ag ysgolion eraill.

	2012	2013	2014	2015	2016
Ysgol y Santes Ffraid	2	2	1	1	1
Ysgol Uwchradd Dinbych	3	3	2	3	3
Ysgol Dinas Brân	1	2	2	1	1
Ysgol Uwchradd Prestatyn	2	3	3	2	2
Ysgol y Bendigaid Edward Jones	3	3	3	3	4
Ysgol Uwchradd y Rhyl	2	1	2	3	3
Ysgol Brynhyfryd	2	3	2	2	1
Ysgol Glan Clwyd	2	2	1	2	2

Mae un o ysgolion Sir Ddinbych sydd wedi'i gosod ym 4ydd categori categoreiddio data cenedlaethol; Ysgol y Bendigaid Edward Jones. Mae tair ysgol yng nghategori cyntaf categoreiddio data cenedlaethol; Ysgol y Santes Ffraid, Ysgol Dinas Brân ac Ysgol Brynhyfryd. Mae Ysgol Uwchradd y Rhyl wedi'i gosod yng nghategori 3 gan fod eu data 3 blynedd ar ddisgyblion pryddau ysgol am ddim ychydig yn is na meincnod Llywodraeth Cymru.

4.4 Canlyniadau Trothwy Lefel 3 (Lefel A a chymwysterau galwedigaethol cyfwerth)

Y dangosydd perfformiad ar gyfer ôl-16 yw Trothwy Lefel 3 sy'n gyfystyr â 2 gymhwyster Lefel A neu gymwysterau galwedigaethol cyfwerth. Mae canlyniadau Lefel A wedi gostwng rhywfaint eleni mewn ysgolion uwchradd. Mae canran yr ymgeiswyr sydd wedi cyrraedd trothwy Lefel 3 wedi gostwng ychydig i 97% eleni o 97.7% yn 2015. Mae hyn ychydig yn is na chyfartaledd Cymru, sef 98% ac mae'n gosod Sir Ddinbych yn 17eg yng Nghymru. Sgôr Pwyntiau Cyfartalog Ehangach disgyblion sy'n astudio Lefel A yn Sir Ddinbych oedd 697.8 yn 2016. Roedd hyn bwyntiau'n is nag yn 2015 ac roedd yn gosod ALI Sir Ddinbych yn 20fed ymysg ALLau Cymru.

Gwobrwywyd Diploma Uwch Bagloriaeth Cymru i 97.5% o'r myfyrwyr a gofrestrwyd ar ei gyfer yn 2016; mae hyn 0.8% yn llai nag yn 2015 (98.3%). Mae perfformiad myfyrwyr yn 6ed y Rhyl wedi gwella ychydig eleni wrth i 97.5% o'r myfyrwyr lwyddo i gyrraedd Trothwy Lefel 3, sy'n gynydd o 0.2% (97.3%) ers 2015. Yn 2016, enillodd 91% Ddiploma Uwch Cymhwyster Bagloriaeth Cymru o gymharu â 100% yn 2015. Nid yw canlyniadau 6ed y Rhyl wedi'u cynnwys yng nghyfartaleddau'r awdurdod lleol.

5. Sut mae'r penderfyniad yn cyfrannu at y Blaenoriaethau Corfforaethol?

Mae'r adroddiad yn cyfrannu at y Flaenoriaeth Gorfforaethol o wella perfformiad mewn addysg ac ansawdd adeiladau ein hysgolion. Mae angen i'r Aelodau fod yn ymwybodol o berfformiad yr ysgolion a'r ALI yn erbyn meincnodau cenedlaethol.

6. Faint fydd yn ei gostio a sut fydd yn effeithio ar wasanaethau eraill?

Amh.

- 7. Beth yw prif gasgliadau'r Asesiad o Effaith ar Les? Gellir lawrlwytho adroddiad yr Asesiad o Effaith ar Les oddi ar y wefan, a dylai gael ei gynnwys fel atodiad i'r adroddiad hwn.**

Sir Ddinbych ffyniannus
Sir Ddinbych wydn
Sir Ddinbych iachach
Sir Ddinbych fwy cyfartal
Sir Ddinbych gyda chymunedau cydlynol
Sir Ddinbych lle mae diwylliant bywiog a'r Gymraeg yn ffynnu
Sir Ddinbych sy'n gyfrifol yn fyd-eang

- 8. Pa ymgynghoriadau a gynhaliwyd gydag Archwilio ac eraill?**

Amh.

- 9. Datganiad y Prif Swyddog Cyllid**

Amh.

- 10. Pa risgiau sydd ac a oes unrhyw beth y gallwn ei wneud i'w lleihau?**

Bydd yr ALL a GwE yn parhau i herio Penaethiaid a Rheolwyr ysgolion i sicrhau bod yr ysgolion yn cymryd y camau gweithredu cywir er mwyn gwella safonau.

I leihau unrhyw risgiau i gefnogaeth a heriau yn ysgolion Sir Ddinbych, mae Swyddogion Addysg yn yr Awdurdod yn monitro ac yn asesu ansawdd y gwasanaeth addysg rhanbarthol (GwE).

Sicrhau gwelliant yng nghanlyniadau arholiadau allanol.

Parhau i wella yn erbyn y gostyngiad yng Ngrant Llwybrau Dysgu 14-19 a chyllid Ymagwedd Dryloyw at Gostio a Phrisio CGE.

- 11. Pŵer i wneud y Penderfyniad**

Mae Erthygl 7.4.2(b) o Gyfansoddiad y Cyngor yn amlinellu pwerau'r Pwyllgor Archwilio mewn perthynas ag adolygu amcanion perfformiad a pholisi.

Swyddog Cyswllt:

Prif Reolwr Addysg
Ffôn: 01824 708027

Mae tudalen hwn yn fwriadol wag

Examination Results 2016

Wellbeing Impact Assessment Report

This report summarises the likely impact of a proposal on the social, economic, environmental and cultural well-being of Denbighshire, Wales and the world.

Assessment Number:	160
Brief description:	review of examination results
Date Completed:	Version: 0
Completed By:	
Responsible Service:	Education & Children Services
Localities affected by the proposal:	Whole County,

IMPACT ASSESSMENT SUMMARY AND CONCLUSION

Before we look in detail at the contribution and impact of the proposal, it is important to consider how the proposal is applying the sustainable development principle. This means that we must act "in a manner which seeks to ensure that the needs of the present are met without compromising the ability of future generations to meet their own needs."

Score for the sustainability of the approach

Could you do more to make your approach more sustainable?

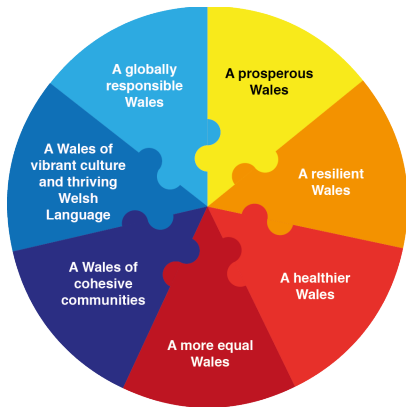
(2 out of 4 stars)



Actual score: 9/ 24.

Summary of impact

Wellbeing Goals



- A prosperous Denbighshire
- A resilient Denbighshire
- A healthier Denbighshire
- A more equal Denbighshire
- A Denbighshire of cohesive communities
- A Denbighshire of vibrant culture and thriving Welsh language
- A globally responsible Denbighshire

Main conclusions

THE LIKELY IMPACT ON DENBIGHSHIRE, WALES AND THE WORLD

A prosperous Denbighshire

Overall Impact:	
Justification for Impact:	

Positive consequences identified:

Unintended negative consequences identified:

Mitigating actions:

A resilient Denbighshire

Overall Impact:	
Justification for Impact:	

Positive consequences identified:

Unintended negative consequences identified:

Mitigating actions:

A healthier Denbighshire

Overall Impact:	
Justification for Impact:	

Positive consequences identified:

Unintended negative consequences identified:

Mitigating actions:

A more equal Denbighshire

Overall Impact:	
Justification for Impact:	

Positive consequences identified:

Unintended negative consequences identified:

Mitigating actions:

A Denbighshire of cohesive communities

Overall Impact:	
Justification for Impact:	

Positive consequences identified:

Unintended negative consequences identified:

Mitigating actions:

A Denbighshire of vibrant culture and thriving Welsh language

Overall Impact:	
Justification for Impact:	

Positive consequences identified:

Unintended negative consequences identified:

Mitigating actions:

A globally responsible Denbighshire

Overall Impact:	
Justification for Impact:	

Positive consequences identified:

Unintended negative consequences identified:

Mitigating actions:

Mae tudalen hwn yn fwriadol wag

Adroddiad i'r:	Pwyllgor Archwilio Perfformiad
Dyddiad y Cyfarfod:	26 Ionawr 2017
Aelod/Swyddog Arweiniol:	Aelod Arweiniol Cyllid, y Cynllun Corfforaethol a Pherfformiad / Pennaeth Gwella Busnes a Moderneiddio
Awduron yr Adroddiad:	Rheolwr Tîm Cynllunio Strategol/ Swyddog Cynllunio Strategol
Teitl:	Adolygiad o'r Gofrestr Risg Gorfforaethol, Rhagfyr 2016

1. Am beth mae'r adroddiad yn sôn?

1.1 Adolygiad ffurfiol o'r Gofrestr Risg Gorfforaethol mis Rhagfyr 2016.

2. Beth yw'r rheswm dros lunio'r adroddiad hwn?

2.1 I gyflwyno'r fersiwn diweddaraf o'r Gofrestr Risg Gorfforaethol (Atodiad 1) i'r Pwyllgor Archwilio Perfformiad, fel y cytunwyd yn ystod Sesiwn Frifio'r Cabinet.

3. Beth yw'r Argymhellion?

3.1 Bod y Pwyllgor Archwilio Perfformiad yn nodi'r dileadau, yr ychwanegiadau a'r newidiadau i'r Gofrestr Risg Gorfforaethol ac yn cael cyfle i wneud sylwadau.

4. Manylion yr adroddiad

4.1 Y prif faterion i'w nodi o ran y Gofrestr Risg Gorfforaethol yw:

- i. DCC001: '*Y risg o gamgymeriad diogelu difrifol lle mae gan y cyngor gyfrifoldeb, gan arwain at anaf difrifol neu farwolaeth*'. Mae'r rhan fwyaf o'r camau gweithredu arfaethedig yn gyflawn ac er bod amserlenni wedi llithro ar gyfer y cam(au) sy'n weddill, mae'r rhan fwyaf yn agos i'w cwblhau. Cynigir bod y tebygolrwydd yn cael ei leihau i '*posibl*' ond y dylai'r effaith aros yn '*uchel*'.
- ii. Dim newid i DCC006: '*Y risg bod yr amgylchedd economaidd ac ariannol yn gwaethygu y tu hwnt i ddisgwyliadau presennol, gan arwain at alw ychwanegol am wasanaethau a llai o incwm*'. Mae'r risg yn parhau i gael ei fonitro'n ofalus ac mae proses gyllideb gadarn ar gyfer 2017/18 yn agos i'w cwblhau; ond yn aros am gymeradwyaeth derfynol. Er bod y setliad yn well na'r disgwyl, yn unffurf mewn termau arian parod, nid yw hyn yn caniatáu ar gyfer chwyddiant. DCC007: '*Y risg bod gwybodaeth hanfodol neu gyfrinachol yn mynd ar goll neu yn cael ei datgelu*'. Yn dilyn cyflwyno'r polisi diogelwch gwybodaeth newydd a recriwtio'r Swyddog Diogelwch TGCh, cynigir bod y tebygolrwydd yn cael ei leihau i '*prin*' ac y dylai'r effaith aros yn '*ganolig*'. Dim newid i DCC011: '*Y risg o ymateb aneffeithiol i dywydd garw, llygredd, neu ddigwyddiad sy'n effeithio ar iechyd y cyhoedd*'. Mae newidiadau ar 'lefel arweiniol ymateb'

bellach wedi eu hymgorffori, ac unwaith y bydd y llawlyfr digwyddiadau mawr wedi'i gwblhau (trefnwyd ar gyfer diwedd Rhagfyr 2016) y gobaith yw y gall y tebygolrwydd risg gweddilliol gael ei israddio i 'prin', ond dylai aros ar 'posibl' am y tro. Dim newid i DCC012: '*Y risg o adroddiad(au) negyddol iawn gan reolyddion allanol*'. Mae adroddiadau archwilio a dderbyniwyd yn gadarnhaol ar y cyfan, fodd bynnag, mae pryderon wedi'u codi o'r blaen gan AGGCC am y trefniadau diogelu. Bydd y tebygolrwydd yn aros yn 'bosibl' am nawr ac unwaith y derbynnir cadarnhad bod y trefniadau diogelu wedi gwella yn foddhaol, bydd y tebygolrwydd yn cael ei leihau i 'prin'. Dim newid i DCC013: '*Y risg o rwymedigaethau sylweddol yn deillio o fodolau amgen o ddarparu gwasanaethau*'. Mae fframwaith corfforaethol ar gyfer modelau darparu gwasanaeth amgen ac offeryn asesu wedi eu datblygu. Fodd bynnag, mewn cydnabyddiaeth o'r angen cynyddol i nodi a gweithredu modelau darparu gwasanaethau amgen i gynnal gwasanaethau a chreu effeithlonrwydd, mae'r tebygolrwydd yn parhau'n 'bosibl' gydag effaith 'uchel'.

- vii. Dim newid i DCC014: '*Y risg o ddigwyddiad iechyd a diogelwch yn arwain at anaf difrifol neu golli bywyd*'.
- viii. Dim newid i DCC018: '*Y risg na fydd buddiannau rhaglen a phrosiect yn cael eu gwireddu'n llawn*'.
- ix. DCC021: '*Y risg nad yw partneriaethau a rhyngwynebau effeithiol rhwng Bwrdd Iechyd Prifysgol Betsi Cadwaladr a Chyngor Sir Ddinbych (CSDd) yn datblygu, gan arwain at gamleoliad sylweddol rhwng cyfeiriad strategol a gweithredol Bwrdd Iechyd Prifysgol Betsi Cadwaladr a Chyngor Sir Ddinbych*'. Mae'r Bwrdd Partneriaeth Rhanbarthol wedi cael ei weithredu i ddatblygu cydweithrediad ac integreiddiad ac mae'r strwythur ardal wedi'i ymgorffori. Mae'r tebygolrwydd wedi gostwng o 'tebygol'. DCC027: '*Y risg nad yw'r penderfyniadau sy'n angenrheidiol i alluogi cyflwyno cyllideb gytbwys yn cael eu cymryd neu eu gweithredu yn ddigon cyflym*'. Mae cyllideb gytbwys ar gyfer 2017/18 wedi cael ei datblygu gan arwain at y tebygolrwydd yn cael ei ostwng i 'prin'. Fodd bynnag, mae ansicrwydd o ganlyniad i setliadau yn y dyfodol (2018/19 ac ymlaen) yn golygu bod y risg hon yn parhau i fod yn her ac efallai y bydd y tebygolrwydd yn cynyddu eto yn y dyfodol. Yn ogystal, mae llawer o'r 'tasgau hawdd' wedi eu gwneud, gan adael penderfyniadau mwy cymhleth ac annymunol angen eu gwneud i sicrhau effeithlonrwydd yn y dyfodol. Dileu DCC028: '*Y risg bod y gwasanaethau rydym yn eu tynnu'n ôl yn cael mwy o effaith positif neu negyddol na'r disgwyl*'. Nodwyd y risg yn wreiddiol pan oedd angen toriadau mawr i wasanaethau rheng flaen (2014/15) ac mae effeithiau'r newidiadau wedi cael eu hasesu. Mae'r Grŵp Tasg a Gorffen Torri'r Brethyn yn dirwyn i ben. Mae prosesau ar gyfer monitro effeithlonrwydd a modelau darparu amgen yn eu lle a risgiau penodol o'u cwmpas yn cael eu monitro. Dim newid i DCC029: '*Risg o her lwyddiannus ein bod yn amddifadu pobl o'u rhyddid yn anghyfreithlon*'. Mae Uwch Ymarferwr gyda chyfrifoldeb arweiniol dros Ddiogelu Rhag Colli Rhyddid (DoLS) bellach yn ei swydd a bydd yn cynnig cefnogaeth a goruchwyliaeth ar gyfer y broses. Dim newid i DCC030: '*Y risg nad oes gallu a sgiliau priodol i gynnal gwasanaethau a pherfformiad corfforaethol ar gael*'.
- xiv. Dim newid i DCC031: '*Y risg o dwyll a llygredd gan arwain at golled ariannol a cholli enw da ac o bosibl effeithio ar ddarparu gwasanaeth*'.

xv. Dileu DCC032: *'Y risg y bydd yr ansicrwydd presennol o ran Diwygio Llywodraeth Leol (LGR) yn arwain at fwy o ffocws ar bontio ac felly leihau uchelgais ein cynlluniau'*. Yn fuan ar ôl i'r risg hon gael ei hychwanegu at y Gofrestr Risg Gorfforaethol (Mehefin 2016), cyhoeddwyd bod y cynlluniau ar gyfer LGR yn annhebygol o gael eu datblygu yn eu ffurf bresennol, a bod Diwygio'r Sector Cyhoeddus yn cael ei ystyried. Risg Newydd DCC033: *'Mae yna berygl bod cost gofal yn fwy na'r adnoddau sydd ar gael gan y Cyngor'*. Ers gweithredu Dyfarniad Whittleston a'r cynnydd yn y cyflog byw cenedlaethol, mae'r gost o brynu gofal wedi cynyddu 5% ar gyfer 2016/17 a rhagwelir y bydd yn cynyddu 4% y flwyddyn am y pedair blynedd nesaf; yn bennaf oherwydd ffioedd cartrefi gofal. Y canlyniad fyddai gorwariant ym maes Gofal Cymdeithasol a fyddai'n rhoi pwysau sylweddol ar y Cyngor a gallai arwain at yr angen i leihau neu dynnu gwasanaethau anstatudol yn ôl. O ystyried y mesurau rheoli sy'n eu lle, mae'r risg yn cael ei asesu fel *'tebygol'* gydag effaith *'uchel'*.

4.2 Mae risgiau newydd yn dod i'r amlwg y mae'r Cyngor yn cadw llygad arnynt, ond nid oes digon o ffeithiau yn bodoli i ddisgrifio'r risgiau yn gywir neu asesu tebygolrwydd ac effaith:

- Brexit
- Ariannu Rhaglenni Trechu Tlodi, yn enwedig Cymunedau yn Gyntaf, Dechrau'n Deg a Theuluoedd yn Gyntaf.
- Diwygio'r Sector Cyhoeddus

5. **Sut mae'r penderfyniad yn cyfrannu at y Blaenoriaethau Corfforaethol?**

5.1 Pwrpas y Gofrestr Risg Gorfforaethol yw adnabod digwyddiadau posibl yn y dyfodol all gael effaith niweidiol ar allu'r cyngor i gyflawni'r amcanion, gan gynnwys y blaenoriaethau corfforaethol. Mae'r rheolyddion a'r gweithredoedd a nodwyd felly yn angenrheidiol ar gyfer cyflawni'r blaenoriaethau corfforaethol.

6. **Faint fydd hyn yn ei gostio a sut y bydd yn effeithio ar wasanaethau eraill?**

6.1 Mae cost datblygu, monitro ac adolygu'r Gofrestr Risg Gorfforaethol yn cael eu cynnwys yn y cyllidebau cyfredol.

7. **Beth yw prif gasgliadau'r Asesiad o Effaith ar Les? Gellir lawrlwytho'r Adroddiad Asesiad o'r Effaith ar Les o'r wefan, a dylid ei atodi fel atodiad i'r adroddiad.**

7.1 Mae'r Gofrestr Risg Gorfforaethol yn dogfennu risgiau sydd wedi'u canfod, a'r gweithredoedd lliniaru cyfredol ac arfaethedig. Nid yw'r broses o ddatblygu ac adolygu'r ddogfen yn ei hun angen Asesiad o'r Effaith ar Les. Fodd bynnag, dylid asesu effaith unrhyw broses, strategaeth neu bolisi newydd sy'n cael eu ffurfio o ganlyniad i weithred lliniaru, ar lefel darparu gwasanaeth. **Pa ymgynghoriadau a gynhaliwyd gyda'r Pwyllgorau Archwilio ac eraill?**

8.1 Mae'r Gofrestr Risg Gorfforaethol wedi ei datblygu gan y Tîm Gweithredol Corfforaethol a nhw sy'n berchen arni. Mae'r broses adolygu fel a ganlyn: Mae pob gwasanaeth yn adolygu eu cofrestr risg ddwywaith y flwyddyn (yn unol â methodoleg rheoli risg corfforaethol) cyn bob adolygiad Corfforaethol.

- Mae'r Tîm Gwelliant Corfforaethol yn dadansoddi cofrestrau risg y gwasanaethau i ganfod risgiau sy'n arwyddocaol yn gorfforaethol neu unrhyw themâu sy'n ymddangos ar draws y gwasanaethau.
- Mae'r diweddariadau am risgiau corfforaethol cyfredol yn cael eu casglu gan berchnogion y risg, a bydd diweddariadau am weithredoedd i liniaru yn cael eu casglu gan y rhai sy'n cyflawni'r gweithredoedd.
- Cynhelir cyfarfodydd unigol gyda'r Prif Weithredwr a phob Cyfarwyddwr Corfforaethol i drafod y risgiau y maent yn gyfrifol amdanynt. Rhoddir ystyriaeth a yw'r risg yn parhau, a yw'r sgôr yn gywir, ac a oes angen cynnwys unrhyw risgiau newydd o dan eu hawdurdodaeth. Cynhelir gweithdy risg yn ystod Sesiwn Frifffio'r Cyngor i adolygu risgiau cyfredol; trafod cynnydd ar gamau lliniaru a gytunwyd arnynt; trafod a chytuno ar risgiau corfforaethol newydd; adolygu a diweddarau sgôr risgiau sy'n weddill; diweddarau rheolyddion sydd eisoes yn bodoli (yn dilyn gweithredoedd sydd eisoes wedi eu cwblhau); a chytuno ar unrhyw weithredoedd eraill sydd eu hangen i liniaru'r risg.

9. Datganiad y Prif Swyddog Cyllid

9.1 Nid oes unrhyw oblygiadau ariannol yn codi o'r broses sydd wedi'i hamlinellu yn yr adroddiad hwn i ddatblygu, monitro ac adolygu'r Gofrestr Risg Gorfforaethol.

10. Pa risgiau sydd ac a oes unrhyw beth y gallwn ei wneud i'w lleihau?

10.1 Y prif risg yn berthnasol â'r broses o reoli risg yw nad yw'r cofrestrau'n cael eu hadolygu'n rheolaidd ac felly nid ydynt yn dod yn offeryn rheoli ystyrion a dynamig. Ond, mae'r broses wedi'i chynnwys yn llawn yn fframwaith rheoli perfformiad y cyngor, a ddylai sicrhau nad yw hyn yn digwydd.

11. Pŵer i wneud y Penderfyniad

11.1 Deddf Llywodraeth Leol 2000.

11.2 Mae Erthygl 7.15.2 yng Nghyfansoddiad y Cyngor yn amlinellu cyfrifoldebau'r Pwyllgor mewn perthynas â chraffu ar berfformiad y Cyngor mewn cysylltiad â materion corfforaethol.

Swyddog Cyswllt:

Rheolwr Tîm Cynllunio Strategol

Ffôn: 01824 708079

Risks

Risks

00001 The risk of a serious safeguarding error where the council has responsibility, resulting in serious harm or death

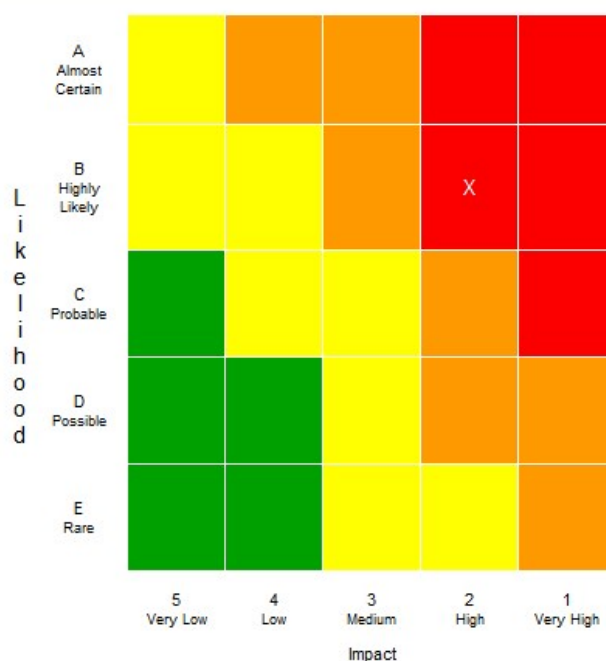
Description

This risk - concerning children and vulnerable adults - is increasing as the environment is changing, with growing expectations around our duties in relation to 3rd party provision. The cumulative impact of reducing resources across the public sector may impact agencies' ability to appropriately recognise safeguarding risks which may also create extra pressures for the Local Authority.

Impact / Consequences

1. Significant reputational loss.
2. Possible intervention by Welsh Government.
3. Legal/compensation costs.

Inherent Risk



Controls to Manage Risk (in place)

1. Safeguarding policy & procedures are in place
2. Corporate Safeguarding Training Programme.
3. Wales Interim Policy & Procedures for the Protection of Vulnerable Adults from Abuse.
4. Framework of self-assessment for schools in relation to safeguarding has been established.
5. Section 28 Audits, and annual reporting requirement for services to demonstrate how they are discharging their duties in relation to safeguarding.
6. Section 28 audit tool in place for voluntary sector to ensure safeguarding practices are in place.
7. Compliance with safeguarding practises is part of the annual HR audit of schools.
8. Regional arrangements for safeguarding a) children and b) vulnerable adults are in place. The regional safeguarding boards set priorities and actions regionally, eg training and policies & procedures.
9. Middle Managers Conference focussing on Safeguarding, November 2014
10. Risk assessments in place for recruiting staff who require a DBS check and/or references
11. Safeguarding policy review has taken place with Schools and new guidance has been developed
12. Corporate Safeguarding Panel has been reviewed including the terms of reference, roles and responsibilities.
13. Heads of Service have been asked to ensure they consider safeguarding when reviewing their risk registers and that safeguarding be included in service challenge where appropriate.
14. Key posts within the Council that could have an impact on safeguarding have been identified and Heads of Service are reviewing the posts to ensure that adequate checks are undertaken by the Council or and external body. All new employee contracts make reference to safeguarding.
15. Briefing sessions on safeguarding and Child Sexual Exploitation have been delivered to County Council and the Leader has included corporate safeguarding in his portfolio.
16. Improvements have been made to safeguarding arrangements with contractors including (i) DBS contract checks, (ii) ensuring that Council staff responsible on site for the contractor and managing the tendering / contract process are clear of thier responsibilities in respect of safeguarding, (iii) ensuring contacts terms and conditions (including JCT) in relation to DBS checks are appropriate, (iv) ensuring that self-assessment arrangements as part of contract management are appropriate.
17. The Corporate Safeguarding Policy has been reviewed and updated in line with new legislation.

Residual Risk



Further Actions

00050 Task and Finish Group to be established to consider local risks associated with Child Sexual Exploitation, and lessons learned from Rotherham and elsewhere

Action Due Date

31/12/2016

Person Responsible

Nicola Stubbins

00061 Develop a formal mechanism for recording and sharing safeguarding incidents and near misses

Description

This is a standing item on the Corporate Safeguarding Panel agenda. We will also share case reviews where there is a corporate perspective for lessons learned.

As part of the development of the CRM system, we will look at the feasibility of having a corporate system for recording safeguarding incidents.

Service representatives will report any key messages from Panel meetings to members of staff within their services.

Action Due Date

30/06/2017

Person Responsible

Nicola Stubbins

00063 Corporate Safeguarding Training Package

Description

corporate safeguarding training package is currently being developed by the Learning & Development Specialist (HR).

Action Due Date

31/03/2017

Person Responsible

Catrin Roberts

Lead Member(s)

Councillor Bobby Feeley

Active

Yes

Risk Owner

Nicola Stubbins

00006 The risk that the economic and financial environment worsens beyond current expectations, leading to additional demand on services and reduced income.

Description

The latest settlement was equal to the lowest anticipated, and the next one will probably also be very low, affecting our ability to effectively plan for the medium term.

Impact / Consequences

The council suffers from a significant reduction in income, leading to an inability to deliver current levels of service provision.

Inherent Risk



Controls to Manage Risk (in place)

1. The council has no control over the global economy or the WG settlement. Therefore the inherent risk score likely to remain high.
2. Annual, detailed budget setting process that considers economic environment
3. The Medium Term Financial Plan (MTFP) contains different scenarios to ensure it can deal with changes in the external environment, and is considered on a quarterly basis: it has revised its expectations further downwards.
4. A robust budget-setting process raises awareness of implications of significantly reduced income due to the economic environment. It also identifies a range of proposals should cuts be incurred.
5. Regular (usually monthly) financial planning meetings between services and management accountants are in place.
6. Service's budgets and budget proposals are scrutinised by the Lead Member for Finance and the Head of Service during budget-setting talks.

Residual Risk



Further Actions

00069 2017/18 Budget Process

Description	Completion of the 17/18 budget process leading toward the Local Government Elections.
Action Due Date	01/02/2017
Person Responsible	Richard Weigh

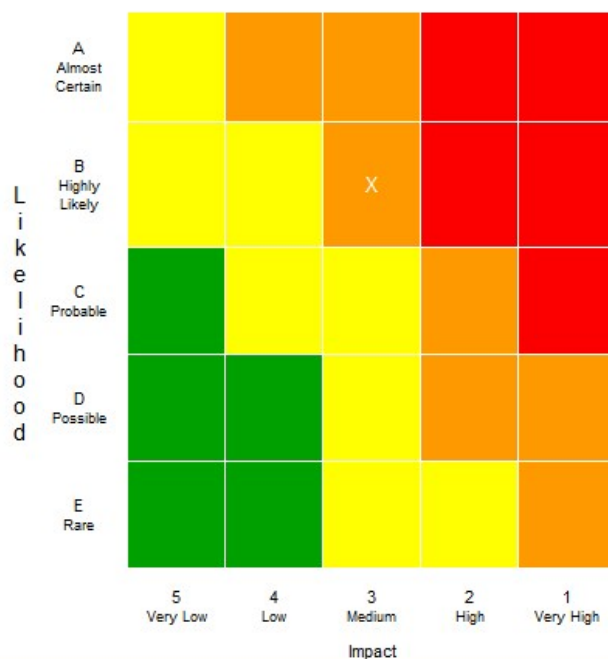
Lead Member(s)	Councillor Julian Thompson-Hill
Active	Yes
Risk Owner	Mohammed Mehmet

00007 The risk that critical or confidential information is lost or disclosed.

Impact / Consequences

1. Reputational damage.
2. Criticism from external regulators (e.g. WAO conducting a review of information management across Welsh Authorities in 2012).
3. Fines from the Information Commissioner's Office (precedent of fines of up to £130k for single breach of Data Protection Act).

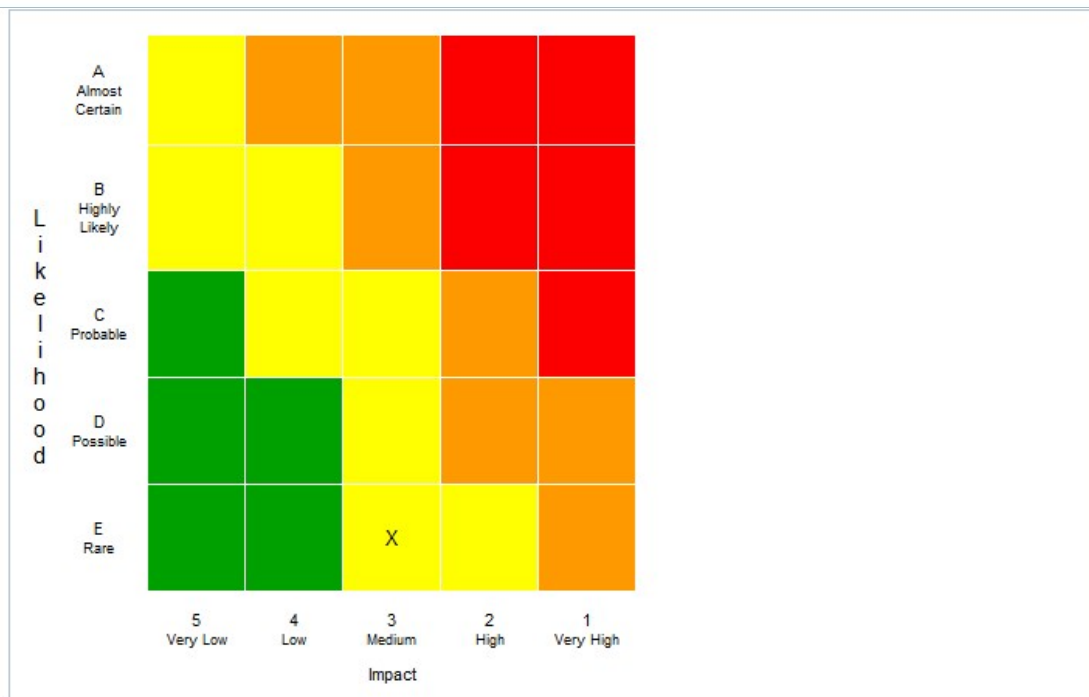
Inherent Risk



Controls to Manage Risk (in place)

1. The council has PSN accreditation
2. Retention schedules and file plans are incorporated into all EDRMS implementations.
3. Staff workshops delivered on good archiving practices.
4. Printer process now supports staff to collect printing when visiting the printer.
5. EDRMS project being rolled out.
6. Addresses are double-checked by a second member of staff when sending out sensitive information.
7. Information strategy in place.
8. Strategic Information Risk Officer in place who investigates breaches and creates and action plan for prevention after each one.
9. Enforcing the encryption of any removal media used to download information from our network.
10. Introduction of secure e-mail: Egress.
11. Data Protection elearning completed by all office-based staff across the Council (currently at 91% completion rate).
12. Refresh of intranet pages.
13. Privacy Impact Assessments introduced for council projects that involve processing of personal data
14. File amnesty day introduced.
15. E-learning package is in place and is being rolled out.
16. ICT Security Officer is now in post.
17. New Information Security Policy in place.

Residual Risk



Further Actions

Lead Member(s)

Councillor Barbara Smith

Active

No

Risk Owner

Rebecca Maxwell

00011 The risk of an ineffective response to a severe weather, contamination, or public health event.

Description

Services plan for the impact of expected seasonal variations in weather, but severe weather events can impact on service delivery. Similarly, we put plans in place to monitor food, water and air quality, but any contaminations can impact on service delivery, as would any viral pandemics.

Impact / Consequences

1. Significant disruption to core services.
2. Serious injury or fatality due to road network closure, poisoning or infection.
3. Reputational risk to the council if unable to deal with issues.

Inherent Risk



Controls to Manage Risk (in place)

1. The control environment in this area is the Regional Emergency Planning Service (Wrexham, Flintshire, Denbighshire, Conwy, Gwynedd and Anglesey), and local emergency management response groups have been established.
2. We also continually review our procedures for winter highways maintenance and flood response. Secondary rota established and operational.
3. Service disruption is minimised through our arrangements for business continuity and emergency planning, with separate Directors responsible for Response and Recovery.
4. There's an on-call rota in place for CET.
5. Emergency Planning Response report taken to Partnerships Scrutiny in June 2015.
6. Vulnerable people mapping tool is in operation.
7. New chairs for the Communications and Operational Response Groups have strengthened arrangements.

Residual Risk



Further Actions

00032 Major incident handbook to be finalised

Action Due Date	31/12/2016
Person Responsible	Rebecca Maxwell
Lead Member(s)	Councillor David Smith
Active	Yes
Risk Owner	Rebecca Maxwell

00012 The risk of a significantly negative report(s) from external regulators.

Impact / Consequences

1. Reputational damage.
2. Potential intervention by the WG.
3. Significant resources may be required to be diverted to deliver immediate and substantial change.
4. Regulation we're subject to includes: CSSIW (Care and Social services Inspectorate Wales); WAO Office; Estyn; HSE (Health & Safety Executive); ICO (Information Commissioner's Office)

Inherent Risk



Controls to Manage Risk (in place)

1. The corporate performance management framework (PMF) is the main control in this area.
2. Head of Business Improvement & Modernisation, Strategic Planning Team Manager and Head of Audit meet monthly with Wales Audit Office to understand and respond to their concerns.
3. Regulators sit on Service Performance Challenges.
4. Reserch & Intelligence team creates Needs & Demands, and Comparative reports to support service self assessment and Service Performance Challenges.
5. Annual Governance Statement and Performance Self Assessment now combined.
6. Protocol developed for addressing recommendations from WAO national studies: services' response will be the subject of performance scrutiny and service challenge.

Residual Risk



Further Actions

Lead Member(s)	Councillor Hugh Evans
Active	Yes
Risk Owner	Rebecca Maxwell

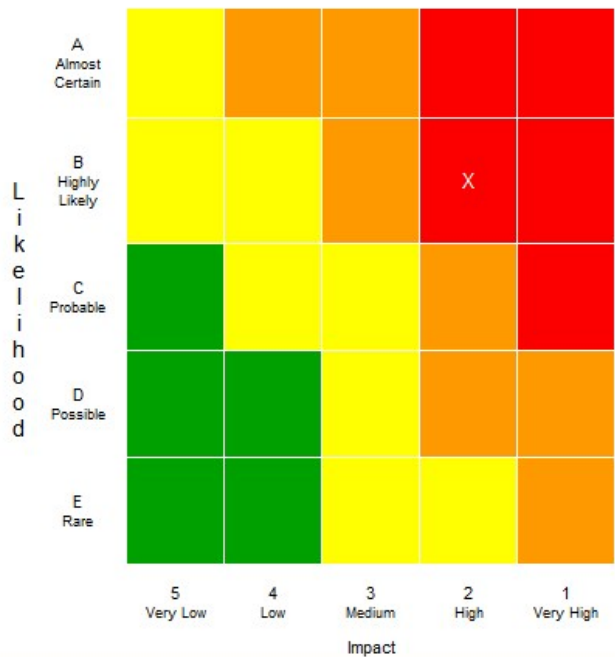
00013 The risk of significant liabilities resulting from alternative models of service delivery

Description	Liabilities could arise due to financial, HR, safeguarding, or general management problems and could impact on the sustainability of service provision.
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Impact / Consequences

1. Financial liabilities.
2. Property Liabilities.
3. Reduction in levels of service provided to the community, or increased revenue costs to continue delivery.
4. Reputation damage to the council

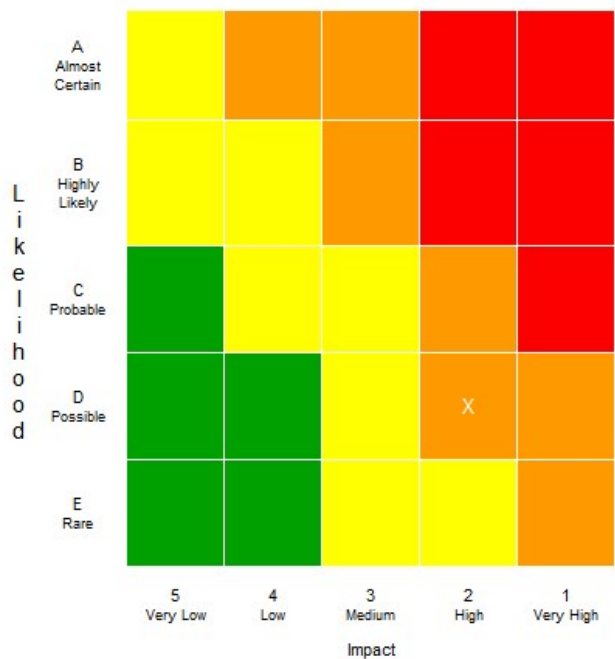
Inherent Risk



Controls to Manage Risk (in place)

1. Council is entitled to representation on Boards, and Heads of Service providing strategic advice to facilities.
2. Heads of Service advise DCC on any emerging issues and risks.
3. Financial support and/or subsidies being provided.
4. Processes are in place to manage relationships between DCC and Arm's Length organisations.
5. Intervention measures are exercised by DCC if relationships with Arm's Length organisations are difficult to manage.
6. Resources have been committed to improve financial monitoring of facilities and services

Residual Risk



Further Actions
00072 Alternative Delivery Model Monitoring

Description	Head of Internal Audit and Chief Finance Officer to identify current services that need to be monitored, assess the effectiveness of current monitoring and determine whether any further monitoring needs to be put in place.
Action Due Date	28/02/2017
Person Responsible	Ivan Butler & Richard Weigh
Lead Member(s)	Councillor Huw Jones
Active	Yes
Risk Owner	Mohammed Mehmet

00014 The risk of a health & safety incident resulting in serious injury or the loss of life.

Description	This could be as a result of an ineffective H&S management system; inadequate fire control systems (infrastructure); or inadequate fire management planning.																																						
Impact / Consequences	<ol style="list-style-type: none"> 1. Serious injury or death of an employee and/or member of public. 2. Significant reputational damage 3. Substantial legal/compensation costs. 4. Criminal prosecution of senior staff. 																																						
Inherent Risk	<table border="1"> <tr> <td rowspan="5">L i k e l i h o o d</td> <td>A Almost Certain</td> <td>5</td> <td>4</td> <td>3</td> <td>2</td> <td>1</td> </tr> <tr> <td>B Highly Likely</td> <td>5</td> <td>4</td> <td>3</td> <td>2</td> <td>1</td> </tr> <tr> <td>C Probable</td> <td>5</td> <td>4</td> <td>3</td> <td>2</td> <td>1</td> </tr> <tr> <td>D Possible</td> <td>5</td> <td>4</td> <td>3</td> <td>2</td> <td>1</td> </tr> <tr> <td>E Rare</td> <td>5</td> <td>4</td> <td>3</td> <td>2</td> <td>1</td> </tr> <tr> <td></td> <td></td> <td>5 Very Low</td> <td>4 Low</td> <td>3 Medium</td> <td>2 High</td> <td>1 Very High</td> </tr> </table> <p style="text-align: center;">Impact</p>	L i k e l i h o o d	A Almost Certain	5	4	3	2	1	B Highly Likely	5	4	3	2	1	C Probable	5	4	3	2	1	D Possible	5	4	3	2	1	E Rare	5	4	3	2	1			5 Very Low	4 Low	3 Medium	2 High	1 Very High
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Controls to Manage Risk (in place)	<ol style="list-style-type: none"> 1. New H&S Committee established with representation from each service, plus meetings established with groups of services. 2. Strategic leadership provided by Corporate Director with responsibility for health and safety. 3. Improved efficiency through on-line & phone line incident reporting. 4. Council has existing Health and Safety Management System(s). 5. All DCC teams to identify their activities, consider the hazards associated with the work, describe how the risks are managed and then analyse any gaps in how they are managing the risks. These self analyses are now being monitored by the CH&S team who are going out into the workplaces and providing support, guidance and feedback where it is needed. 6. The H&S training program focuses on in-house provision that is targeted at DCC activities. 7. Links developed with Property Services to manage property-related fire risks 8. Middle managers have developed robust fire management system controls. 9. In-house training and meetings established to embed good practise. 10. Guidance and assistance provided to managers responsible for developing fire management systems. 11. Health & Safety now forms part of the service challenge process. 12. Now mandatory for all managers to undertake the 'Managing Safely in Denbighshire' training.
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Residual Risk



Further Actions

Lead Member(s)

Councillor Julian Thompson-Hill

Active

Yes

Risk Owner

Rebecca Maxwell

00018 The risk that programme and project benefits are not fully realised.

Description

The council currently does not consistently deliver all benefits from projects. Some of the issues include: inconsistent management; resistance to change; staff behaviour and processes not changing as planned. Programmes to be mindful of include: Economic & Community Ambition; Modernisation; Social Services Modernisation; Modernising Education; Coastal Facilities, and Rhyl Going Forward.

Impact / Consequences

The forecast changes that were alluded to in business cases do not materialise and, hence, neither do their benefits

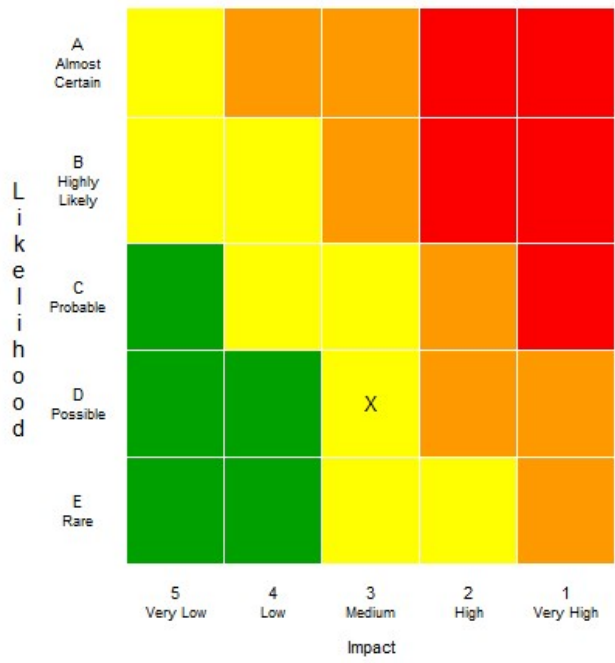
Inherent Risk



Controls to Manage Risk (in place)

1. Corporate Programme Office established.
2. Leadership Strategy in place
3. Programme Manager in place for the Modernisation priority (to co-ordinate projects).
4. Modernisation Board can track projects and their benefits.
5. Introduction of Verto to record benefit tracking.
6. Change toolkits, together with factsheets, are on the intranet to support managers.
7. Finance remove savings from budgets to ensure financial savings are delivered.
8. Denbighshire Way Change Management Guidance has been developed
9. CET reviews key projects every three months

Residual Risk



Further Actions

Lead Member(s)

Active

Risk Owner

Councillor Barbara Smith
Yes
Mohammed Mehmet

00021 The risk that effective partnerships and interfaces between BCU Health Board and Denbighshire County Council (DCC) do not develop, leading to significant misalignment between the strategic and operational direction of BCU and DCC

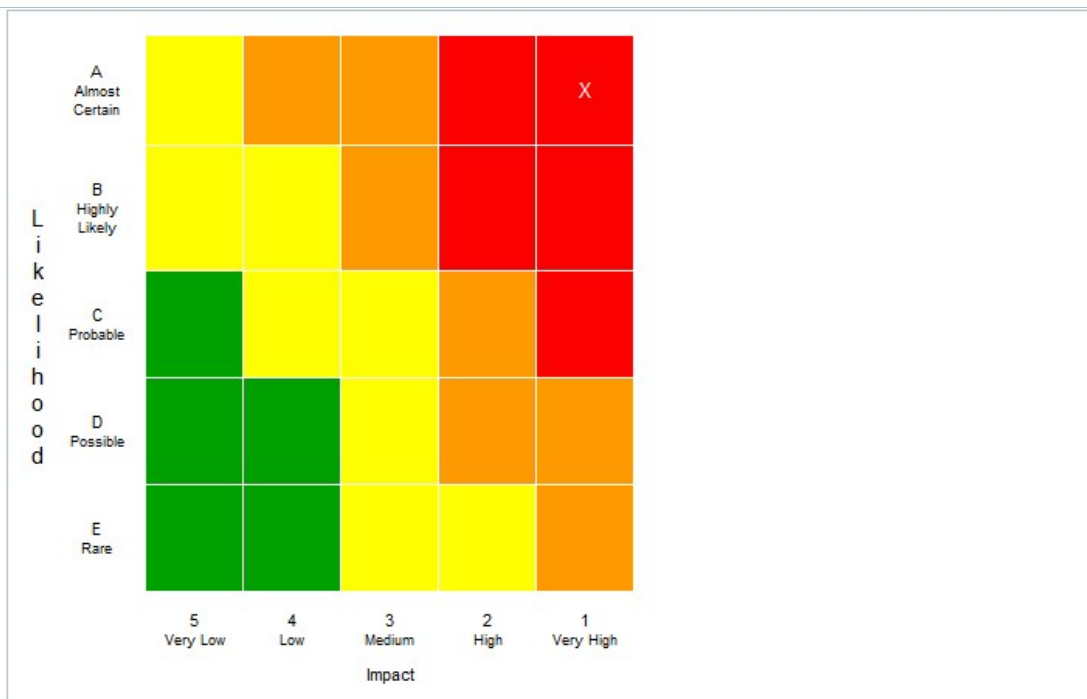
Description

Impact / Consequences

Now that BCUHB has been placed in special measures there is increased political and regulatory scrutiny. This is resource intensive and further detracts from effective partnership working.

1. Inefficient services
2. Gaps in service provision
3. Delays/failure to deliver joint projects
4. Reputational damage
5. Ability to meet new statutory duties - Wellbeing of Future Generations Bill, Social Services and Wellbeing Act

Inherent Risk



Controls to Manage Risk (in place)

1. DCC presence in Key meetings and Boards looking at implementing integrated new approaches although there is a notable lack of progress on the integration agenda
2. Denbighshire Joint Locality Forum established.
3. Nicola Stubbins appointed Associate Member of BCUHB Board
4. Cllr Bobby Feeley appointed Independent Member (Local Authority) of BCUHB Board
5. NWWASIC has reviewed its governance arrangements in partnership with BCUHB.
6. BCUHB Area Director has been appointed.
7. Locality Structure has bedded in.
8. Implementation of the new Regional Partnership Board to progress cooperation and integration.

Residual Risk



Further Actions

Lead Member(s)

Councillor Bobby Feeley

Active

Yes

Risk Owner

Nicola Stubbins

00027 The risk that the decisions that are necessary to enable the delivery of a balanced budget are not taken or implemented quickly enough

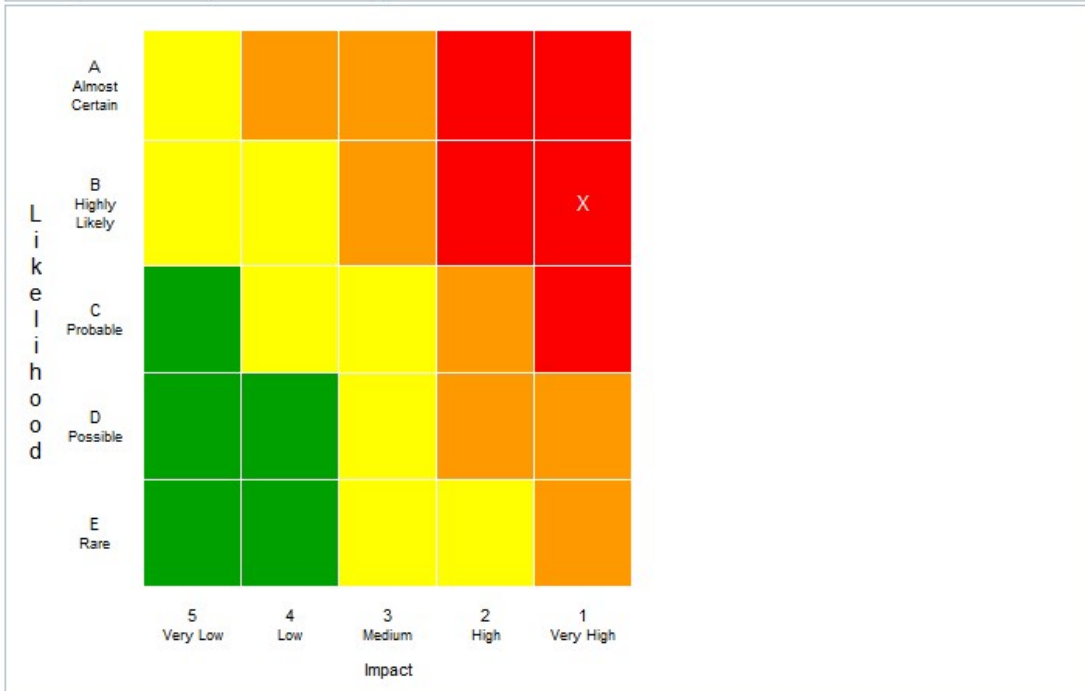
Description

As our settlement reduces, we need to develop and gain approval for plans as to where to stop spending in our budget. Any plans require the approval of Council, and must be implemented in a timely manner that complies with legislation. While the budget process has been successful to date there are still substantial future savings to be made by the Local Authority and the political environment remains sensitive.

Impact / Consequences

Denbighshire overspends on its budget

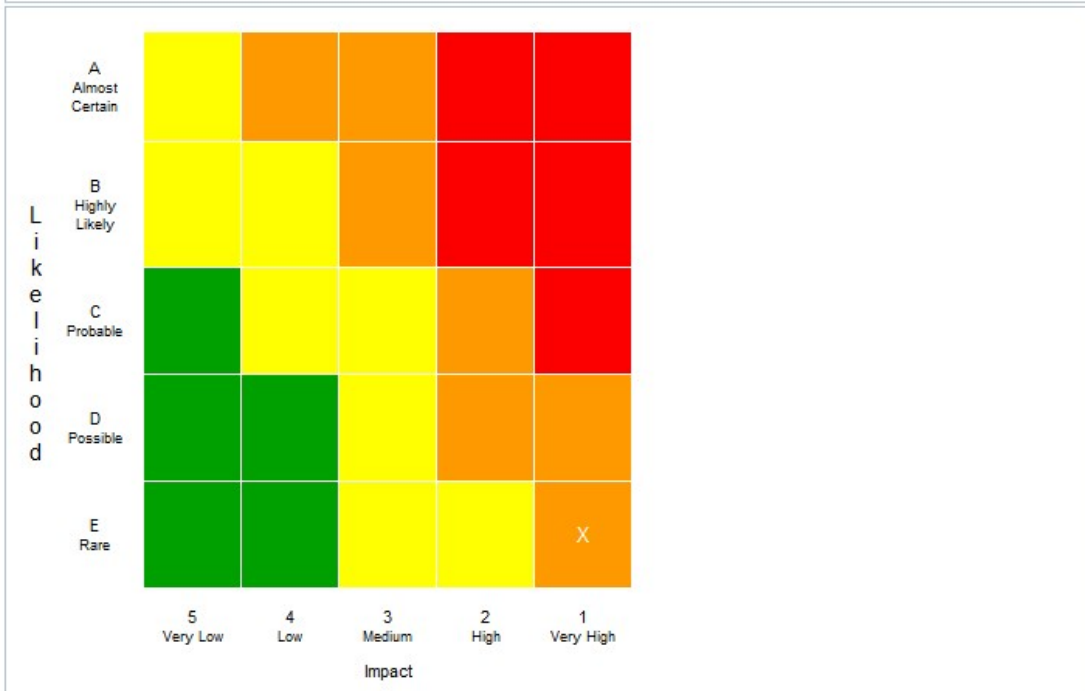
Inherent Risk



Controls to Manage Risk (in place)

1. The budget setting process involves Members, so they understand that difficult decisions are necessary, and they are involved with developing the proposals. This should make them more likely to support the recommendations made.
2. As decisions are becoming harder then lead in times are becoming longer.
3. Services have delivered savings earlier than budgeted which has created a short-term financial cushion.

Residual Risk



Further Actions

Lead Member(s)

Cllr Hugh Evans

Active

Yes

Tudalen 39

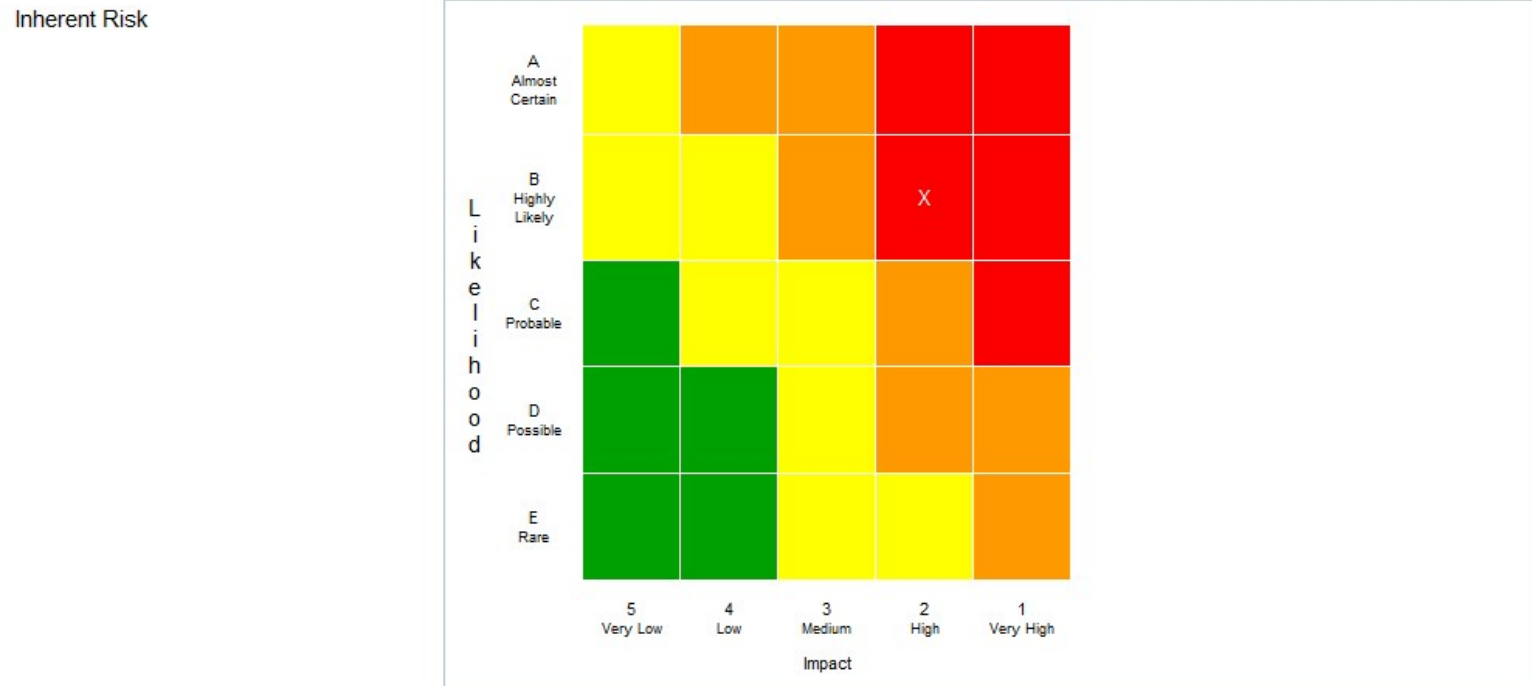
Risk Owner Mohammed Mehmet

00028 The risk that the services that we scale back have a greater positive or negative impact than we anticipated

Description When deciding where to make budget cuts, we endeavour to ensure the quality of key services. There is a risk that we haven't identified the correct services as being 'key', and/or that the changes we make are more disruptive than we anticipated

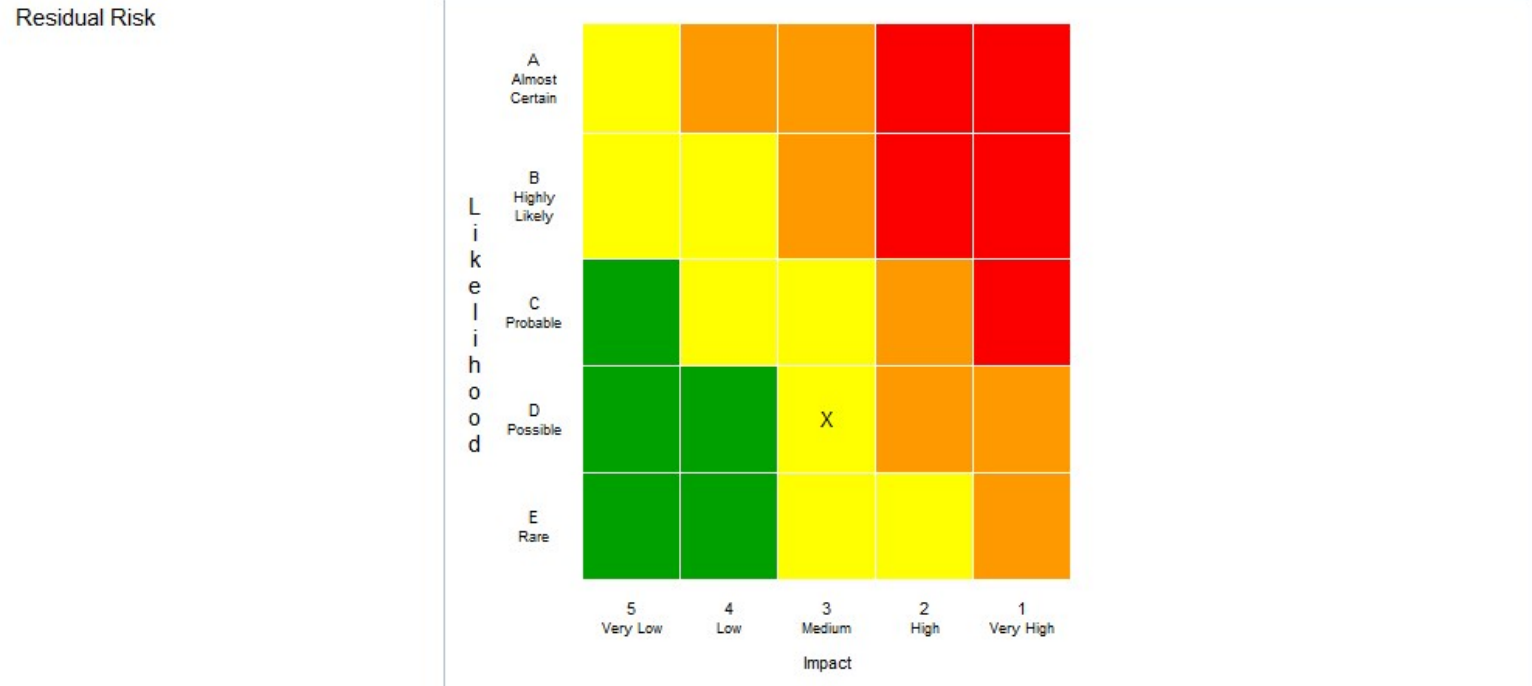
Impact / Consequences

1. Services that are important for our residents are no longer available
2. Performance in important areas of our business (for our residents) deteriorates
3. Reinstatement/correction in performance is difficult and slow to achieve
4. Reputation can suffer if performance deteriorates
5. Reputation can suffer if messages are not managed



Controls to Manage Risk (in place)

1. Impact Assessments are undertaken
2. Cover reports for all decisions ask for risks and benefits to be articulated
3. "Cutting the Cloth" Task and Finish Group established to monitor the impacts



Further Actions

Lead Member(s)	CLlr Hugh Evans
Active	Yes
Risk Owner	Mohammed Mehmet

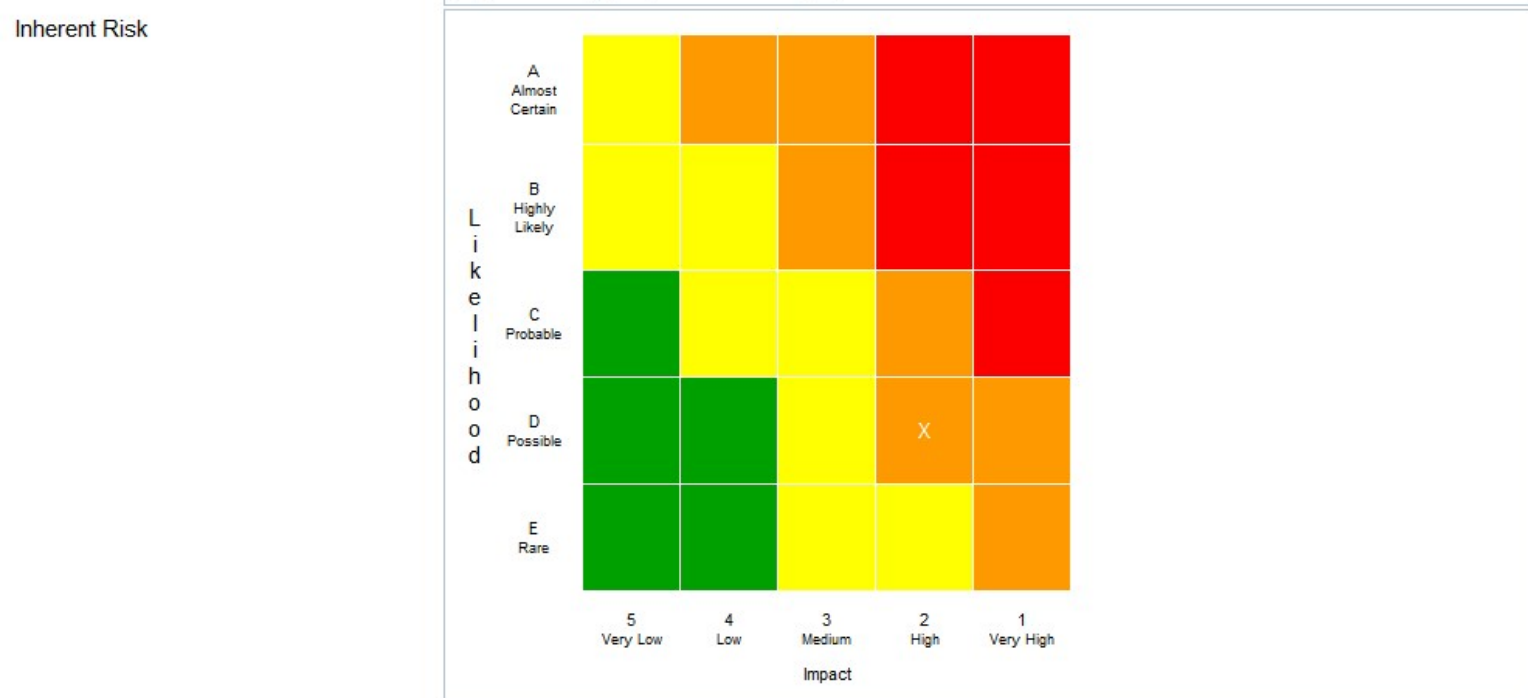
00029 Risk of successful challenge that we are illegally depriving people of their liberty

Description

There is the potential for future legal challenges following the recent Supreme Court ruling on deprivation of liberty. The court ruled that all people who lack the capacity to make decisions about their care and residence and, under the responsibility of the state, are subject to continuous supervision and control and lack the option to leave their care setting are deprived of their liberty. The ruling overturned previous judgements that had defined deprivation of liberty more restrictively. This means that many people are likely to have been deprived of their liberty unlawfully and without safeguards in settings including care homes and supported living placements. This is likely to result in a significant increase in DOLS case numbers regarding care home placements, and also applications to the Court of Protection to authorise deprivations of liberty in supported living. We are already beginning to see the impact of the ruling in Denbighshire.

Impact / Consequences

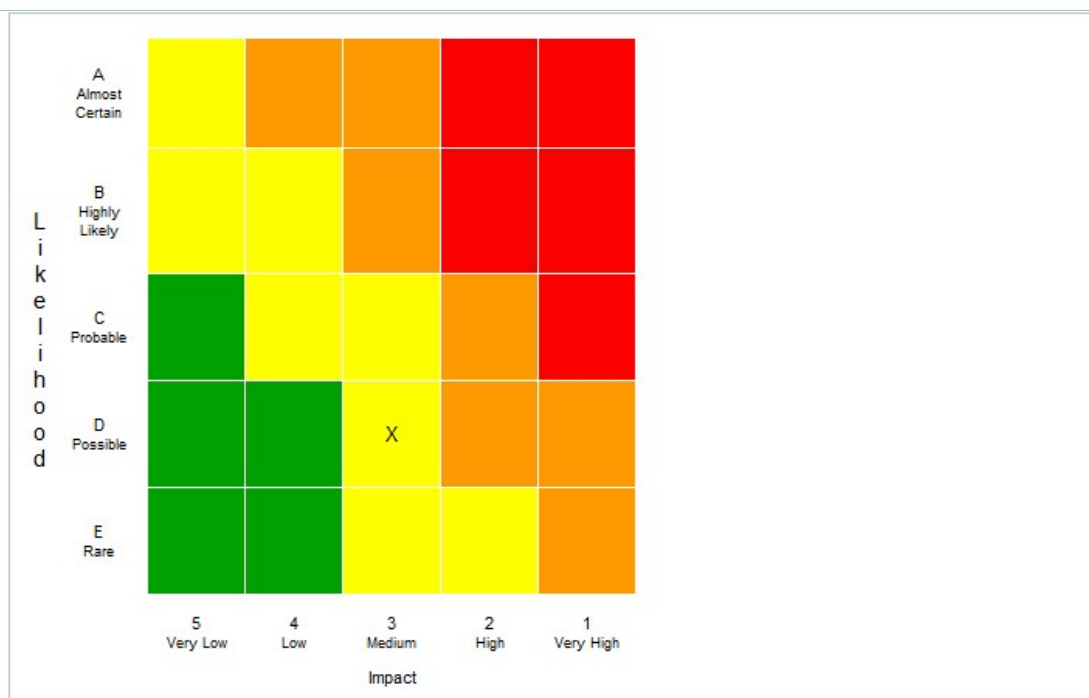
Legal challenge, reputational damage, financial costs



Controls to Manage Risk (in place)

- 1 Every provider has received a letter describing our pragmatic approach
- 2 Additional staff members have been trained as Best Interest Assessors
- 3 Increased signatory capacity is reducing backlogs following assessment
- 4 New Senior Practitioner in post for DOLS who will manage the process offering support and supervision

Residual Risk



Further Actions

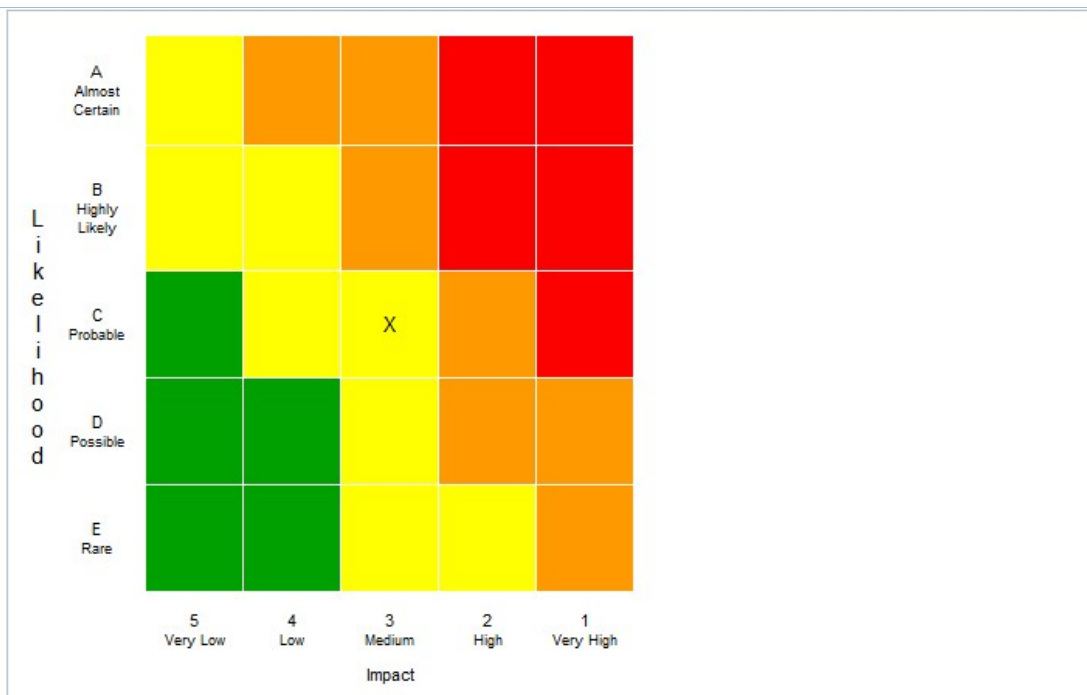
00044 Reviewing

Description	Continue to assess everyone in residential care that we need to
Action Due Date	31/03/2016
Person Responsible	Phil Gilroy
Lead Member(s)	Bobby Feeley
Active	Yes
Risk Owner	Nicola Stubbins

00030 The risk that appropriate capacity and skills to sustain service and corporate performance is not available

Description	The current structure of the Senior Leadership Team has been built on the strength and experience of current postholders. As the number of posts at CET and SLT has reduced there is a concentration of key roles that are critical to the successful delivery of services, and the organisation's ability to respond to policy and legislation. There is a risk that individuals with particular skill sets would be difficult to replace, and the threat of local government reorganisation contributes to difficulties in recruitment due to the uncertainty it creates.
Impact / Consequences	Reputational damage Declining performance

Inherent Risk



Controls to Manage Risk (in place)

1. Greater opportunities for Middle Managers to 'act up' to key posts in order to gain experience at a more senior level
2. Appointment of more senior Middle Managers
3. Leadership Strategy is in place
4. Heads of Service are tested on their succession plans through Service Challenge
5. Quarterly Leadership Conferences held to develop middle managers.

Residual Risk



Further Actions

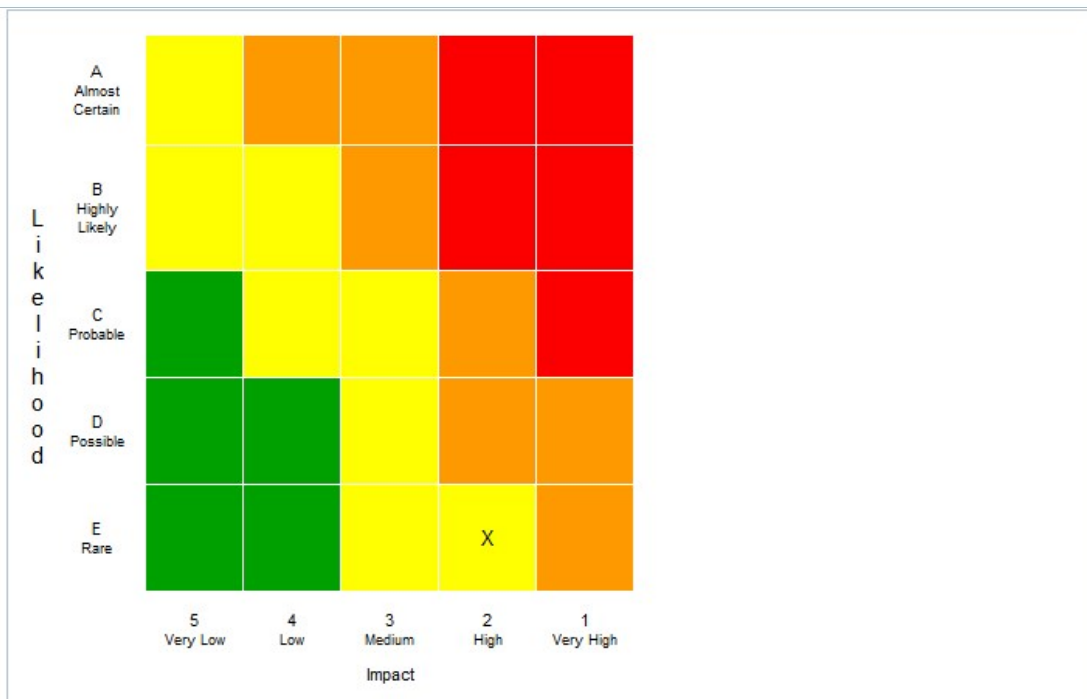
00073 Training Needs Analysis for Senior and Middle Managers

Description	Undertake a training needs analysis for senior and middle managers to ensure training and development programmes meet need, build capacity from within and enable succession planning.
Action Due Date	31/03/2017
Person Responsible	Rebecca Maxwell
Lead Member(s)	Hugh Evans
Active	Yes
Risk Owner	Mohammed Mehmet

00031 The risk of fraud and corruption resulting in financial and reputational loss and possibly impacting on service delivery.

Description	<p>Denbighshire County Council (the Council) employs around 4,500 staff and spends in excess of £300 million per year. It commissions and provides a wide range of services to individuals and households and works with a wide range of private, public and voluntary sector organisations. As with any other large organisation, the size and nature of the Council's services mean that there is an ongoing risk of loss due to fraud and corruption from both internal and external sources. There is also an ongoing risk of bribery as the Council provides and procures goods, works and services.</p> <p>The Council recognises that as well as causing financial loss, fraud is also detrimental to the provision of services, and damaging to the reputation of, and confidence in, the Council and public bodies in general.</p>																																				
Impact / Consequences	<ol style="list-style-type: none"> 1) Financial loss 2) Loss of reputation and confidence in the Council and public bodies in general 3) Negative impact on service provision / delivery 4) Legal / compensation costs 5) Criminal prosecution 6) Negative audit / inspection reports 																																				
Inherent Risk	<table border="1"> <tr> <td>A Almost Certain</td> <td>5</td> <td>4</td> <td>3</td> <td>2</td> <td>1</td> </tr> <tr> <td>B Highly Likely</td> <td>5</td> <td>4</td> <td>3</td> <td>2</td> <td>1</td> </tr> <tr> <td>C Probable</td> <td>5</td> <td>4</td> <td>3</td> <td>2</td> <td>1</td> </tr> <tr> <td>D Possible</td> <td>5</td> <td>4</td> <td>3</td> <td>2</td> <td>1</td> </tr> <tr> <td>E Rare</td> <td>5</td> <td>4</td> <td>3</td> <td>2</td> <td>1</td> </tr> <tr> <td></td> <td>5 Very Low</td> <td>4 Low</td> <td>3 Medium</td> <td>2 High</td> <td>1 Very High</td> </tr> </table> <p style="text-align: center;">Impact</p>	A Almost Certain	5	4	3	2	1	B Highly Likely	5	4	3	2	1	C Probable	5	4	3	2	1	D Possible	5	4	3	2	1	E Rare	5	4	3	2	1		5 Very Low	4 Low	3 Medium	2 High	1 Very High
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Controls to Manage Risk (in place)	<p>In its policies and procedures the Council gives out the clear message that it will not tolerate any impropriety by employees, elected Members or third party organisations. It has put in place arrangement and proportionate systems to minimise this risk and these are kept under constant review, including:</p> <ul style="list-style-type: none"> • The Code of Corporate Governance • The Code of Conduct for Elected Members • The Employees' Code of Conduct • Financial Regulations including Contract Procedure Rules • The Whistleblowing Policy • The Anti-Money Laundering Policy • Recognition and monitoring of the risk of fraud in service risk registers • Systems of internal control • Recruitment processes • Regular internal and external review of our systems and procedures • Review of Council's anti-Fraud arrangements against the CIPFA Standard 2016 (checklist) 																																				

Residual Risk



Lead Member(s)

Councillor Julian Thompson-Hill

Active

Yes

Risk Owner

Mohammed Mehmet

00032 The risk that the current uncertainty surrounding Local Government Reform (LGR) will lead to a greater focus on transition and therefore reduce the ambition of our plans.

Description

There is a risk that the current uncertainty surrounding Local Government Reform (LGR) will lead to a greater focus on transition and therefore reduce the ambition of our plans (planning blight). This would have particular affect on the new Corporate and Wellbeing Plans.

Impact / Consequences

Our plans are less ambitious than they could be and service delivery changes required to sustain services may not happen.

Inherent Risk



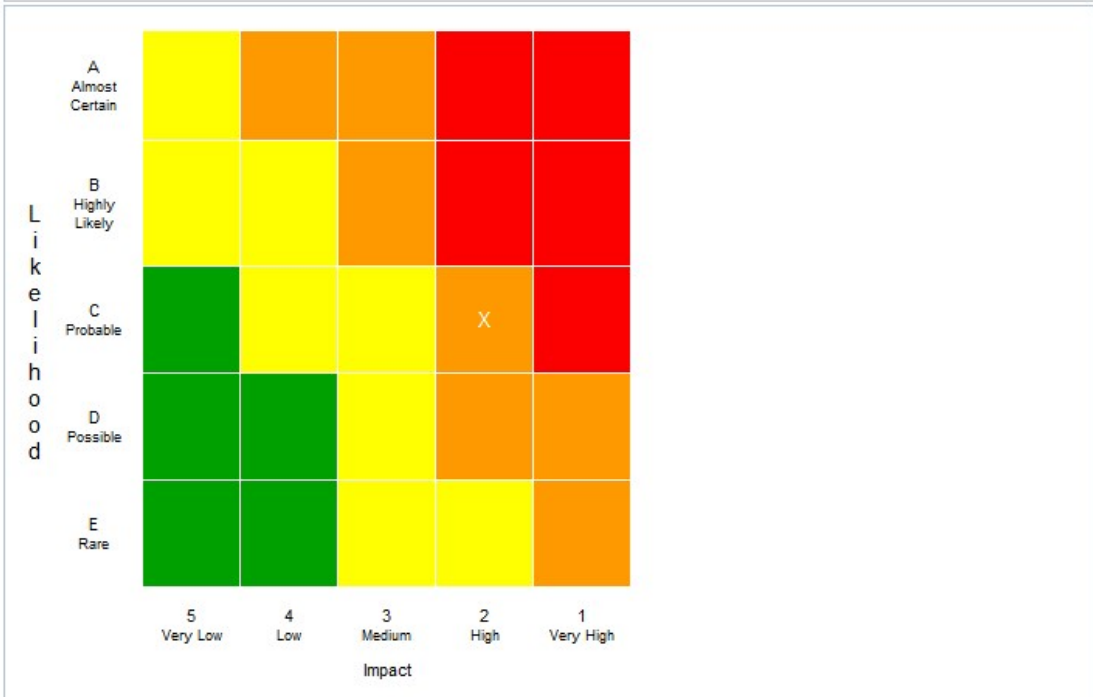
Controls to Manage Risk (in place)

The likelihood for the residual risk is assessed as 'probable' in light of national policy change following the Welsh Government elections (May 2016).

The impact is assessed as 'high' in consideration of the following:

- Changes are likely to be smaller than originally proposed
- Plans for change are already in place due to reducing budgets
- There is a greater focus on sustainability e.g. WBFG Act
- A clear planning timetable is in place that can respond to changes

Residual Risk



Lead Member(s)
Active
Risk Owner

Councillor Hugh Evans
Yes
Mohammed Mehmet

00033 The risk that the cost of care is outstripping the Council's resource

Description
Impact / Consequences

Since the Whittleston Judgement and its implementation as well as the increase in the national living wage, the cost of purchasing care has increased by 5% for 2016/17 and is projected to increase by 4% per year for the next four years; largely due to care home fees.

Overspends in Social Care place significant budget pressures on the Council and could result in the scaling back or withdrawal of non-statutory services.

Inherent Risk



Controls to Manage Risk (in place)

Demand needs to be managed in order to maintain current levels of expenditure. The following controls are currently being embedded:

- A focus on prevention and early intervention so people don't need to go into care.
- Third Sector grant programme.
- Talking Points.
- Community Navigators.
- Supporting Independence Strategy.
- Being innovative and maximising use of grant monies.
- New approach to supporting people to achieving outcomes.
- Improved partnership working with BCU and integrated assessment as well as managing continuing health care.

Residual Risk



Further Actions

00074 Revision of Domiciliary Care contracts to build in the requirement of providers to work in a more outcome focused way and re-able people.

Action Due Date

31/12/2017

00075 Development of additional extra care housing (subject to agreement by Cabinet) by our Housing Association partners.

Tudalen 47

Action Due Date	01/04/2020
Lead Member(s)	Bobby Feeley
Active	Yes
Risk Owner	Nicola Stubbins

The main changes to the Corporate Risk Register are listed below, along with any points of note:

- i. DCC001: *'The risk of a serious safeguarding error where the council has responsibility, resulting in serious injury or death'*. Most of the actions planned are complete and whilst timescales have slipped for the remaining action(s), most are near completion. It is proposed that the likelihood be reduced to 'possible' but that the impact should remain 'high'.
- ii. No change to DCC006: *'The risk that the economic and financial environment worsens beyond current expectations, leading to additional demand on services and reduced income'*. This risk continues to be closely monitored and a robust budget process for 2017/18 is near completion; just awaiting final approval. Whilst the settlement was better than expected, flat in cash terms, this does not allow for inflation.
- iii. DCC007: *'The risk that critical or confidential information is lost or disclosed'*. Following the introduction of the new information security policy and recruitment of the ICT Security Officer, it is proposed that likelihood be reduced to 'rare' and that the impact should remain 'medium'.
- iv. No change to DCC011: *'The risk of an ineffective response to a severe weather, contamination, or public health event'*. Changes at 'response lead level are now embedded and once the major incident handbook is finalised (scheduled for end December 2016), it is hoped that the residual risk likelihood can be downgraded to 'rare', but should remain at 'possible' for now.
- v. No change DCC012: *'The risk of a significantly negative report(s) from external regulators'*. Audit reports received are generally positive, however concerns have been raised previously by CSSIW about safeguarding arrangements. The likelihood will remain 'possible' for now and once confirmation is received that the safeguarding arrangements have improved satisfactorily, the likelihood will be reduced to 'rare'.
- vi. No change DCC013: *'The risk of significant liabilities resulting from alternative models of service delivery'*. A corporate framework for proposed alternative service delivery models and an assessment tool have been developed. However, in recognition of the increasing need to identify and implement alternative service delivery models to sustain services and create efficiencies, the likelihood remains 'possible' with a 'high' impact.

- vii. No change to DCC014: *'The risk of a health & safety incident resulting in serious injury or the loss of life'*.
- viii. No change to DCC018: *'The risk that programme and project benefits are not fully realised'*.
- ix. DCC021: *'The risk that effective partnerships and interfaces between BCU Health Board and Denbighshire County Council (DCC) do not develop, leading to significant misalignment between the strategic and operational direction of BCUHB and DCC'*. The Regional Partnership Board has been implemented to progress co-operation and integration and the locality structure is bedded in. The likelihood has been reduced to 'probable'.
- x. DCC027: *'The risk that the decisions that are necessary to enable the delivery of a balanced budget are not taken or implemented quickly enough'*. A balanced budget for 2017/18 has been developed resulting in the likelihood being reduced to 'rare'. However, uncertainty due to future settlements (2018/19 and onward) mean that this risk continues to be a challenge and the likelihood may increase again in the future. In addition, much of the 'low hanging fruit' has been taken, leaving more complex and unpalatable decisions needing to be taken to deliver future efficiencies.
- xi. Delete DCC028: *'The risk that the services that we scale back have a greater positive or negative impact than we anticipated'*. The risk was originally identified when large cuts to front line services were required (2014/15) and the impacts of the changes have been assessed. The 'Cutting the Cloth' Task and Finish Group is to be wound up. Processes for monitoring efficiencies and alternative delivery models are in place and specific risks around them are monitored.
- xii. No change to DCC029: *'Risk of successful challenge that we are illegally depriving people of their liberty'*. A Senior Practitioner with lead responsibility for Deprivation of Liberty (DOLS) is now in post and will offer support and supervision for the process.
- xiii. No change to DCC030: *'The risk that appropriate capacity and skills to sustain service and corporate performance is not available'*.
- xiv. No change to DCC031: *'The risk of fraud and corruption resulting in financial and reputational loss and potentially impacting on service delivery'*.
- xv. Delete DCC032: *'The risk that the current uncertainty surrounding Local Government Reform (LGR) will lead to a greater focus on transition and therefore reduce the ambition of our plans'*. Shortly after this risk was added to the Corporate Risk Register (June 2016) it was announced that the plans for LGR were unlikely to be progressed

in their current form and that Public Sector Reform (PSR) was being considered.

- xvi. New Risk DCCXXX: *'There is a risk that the cost of care is outstripping the Council's resource'*. Since the implementation of the Whittleston Judgement and the increase in the national living wage, the cost of purchasing care has increased by 5% for 2016/17 and is projected to increase by 4% per year for the next four years; largely due to care home fees. The consequence would be overspends in Social Care which would place significant pressure on the Council and could lead to the need to scale back or withdraw non-statutory services. Given the control measures in place, the risk is assessed as 'probable' with a 'high' impact.

New risks are emerging on which the Council is keeping a watching brief, but insufficient facts exist to accurately describe the risks or assess likelihood and impact:

- Brexit
- Funding of Tackling Poverty Programmes, particularly Communities First, Flying Start and Families First.
- Public Sector Reform

Mae tudalen hwn yn fwriadol wag

Adroddiad i'r:	Pwyllgor Archwilio Perfformiad
Dyddiad y Cyfarfod:	26 Ionawr 2017
Aelod/Swyddog Arweiniol:	Aelod Arweiniol y Parth Cyhoeddus /Pennaeth Gwasanaethau Priffyrdd ac Amgylcheddol
Awdur yr Adroddiad:	Uwch Beiriannydd – Priffyrdd a Gwasanaethau Amgylcheddol
Teitl:	Rheolaeth Priffyrdd Cyngor Sir Ddinbych Adeileddau

1. Am beth mae'r adroddiad yn sôn?

Mae'r adroddiad canlynol yn amlinellu i'r Pwyllgor sut mae'r Cyngor Sir yn rheoli asedau ei Adeiledd Priffyrdd a sut mae'r Gwasanaeth yn bwriadu rheoli ei lwyth gwaith mewn perthynas ag asedau'r Adeiledd Priffyrdd o fewn Rhwydwaith Priffyrdd y Sir.

2. Beth yw'r rheswm dros lunio'r adroddiad hwn?

Mae'r adroddiad hwn wedi ei ysgrifennu i helpu'r Pwyllgor Archwilio Perfformiad i ddeall y risgiau yn sgil cyflwr presennol isadeiledd Adeiledd Priffyrdd y Sir, ac i allu archwilio ein strategaeth arfaethedig (h.y. Prosiect Ôl-groniad Adeiledd Priffyrdd, sy'n brosiect 10 mlynedd.

3. Beth yw'r Argymhellion?

Cefnogi ymagwedd y Gwasanaeth i reoli ôl-groniad y gwaith mewn perthynas ag asedau'r adeiledd priffyrdd, h.y. y **Prosiect Ôl-groniad Adeiledd Priffyrdd**.

4. Manylion yr Adroddiad

Mae Gwasanaethau Priffyrdd ac Amgylcheddol Cyngor Sir Ddinbych yn rheoli 150 o bontydd priffyrdd, 53 ohonynt wedi eu rhestru a 6 ohonynt hefyd yn henebion cofrestredig. Maent yn amrywio o 1.5m hyd at 440m. Hefyd mae'n rheoli 258 o geuffosydd sy'n fwy na 0.9m mewn diamedr, dros 300 o furiau cynhaliol a dros 300 o Bontydd Hawl i Dramwy Cyhoeddus. Drwy ddefnyddio methodoleg a gytunwyd yn genedlaethol nodwyd mai Gwerth eu Hadleoli yn eu Crynswth ar hyn o bryd fyddai £313miliwn. Mae nifer o'r asedau adeiledd o fewn y sir yn gannoedd o flynyddoedd oed ac mae angen sylw sylweddol ar nifer ohonynt er mwyn ymdrin â'r risgiau sy'n bodoli.

I gyd-fynd â'r safon o fewn y diwydiant mae Cyngor Sir Ddinbych yn defnyddio'r arferion a nodir yn "Isadeiledd Priffyrdd a Reoli'r yn Dda: Côt Ymarfer" Grŵp Cyswllt Ffyrdd y Deyrnas Unedig er mwyn rheoli ei isadeiledd Adeileddau Priffyrdd. O'r

ddogfen hon, mae Cyngor Sir Ddinbych wedi drafftio ei Gynllun Rheoli Ased Priffyrdd ei hun er mwyn diffinio'r safonau lleol yr ydym wedi dewis eu mabwysiadu yn llawn. Mae'r Côt Ymarfer yn annog mabwysiadu ymagwedd "Wedi ei Seilio ar Risg" i reoli Isadeiledd priffyrdd. Mae hyn yn cynnwys amrywiad o'r safonau sydd wedi eu cytuno'n genedlaethol, a osodir yn Llawlyfr Grwpiau Cyswllt Ffyrdd Prydain, mewn meysydd fel Archwilio, ac o ganlyniad mewn cysylltiad â'n partneriaid yn Grŵp Pontydd Cymdeithas Syrfewyr Sirol Cymru rydym wedi dyfeisio Ymagwedd wedi ei Seilio ar Risg i archwilio adeiledd. Fel canlyniad uniongyrchol mae'r Cyngor nawr yn cynnal Archwiliadau Adeiledd sy'n sylweddol lai "Manwl" nac y disgwyliid o fewn y safonau a gytunwyd yn genedlaethol, gan arbed degau o filoedd o bunnoedd yn flynyddol.

Mae'r Gwasanaeth hefyd yn gweithredu fel Awdurdod Cymeradwyaeth Dechnegol, sy'n golygu fod y Cyngor yn gyfrifol am sicrhau fod pob cynllun adeiledd priffordd newydd yn cael eu cwblhau i safonau'r Undeb Ewropeaidd a bod asesiadau adeiledd yn cael eu cynnal yn unol â Safonau Cenedlaethol.

Mae gan bob un o asedau'r Cyngor sydd angen asesiad adeiledd, yn unol â'r Safonau Priffyrdd Cenedlaethol, asesiad erbyn hyn. Yn y Deyrnas Unedig mae hyn yn golygu fod cerbydau hyd at 40Tunnell mewn pwysau crynswth i ddefnyddio rhwydwaith briffyrdd y wlad heb gyfyngiad. Mae gan Gyngor Sir Ddinbych 30 o asedau gydag asesiad Adeiledd is na'r safon. Unwaith mae gan adeiledd adroddiad asesu adeiledd gwan mae'n bwysig deall beth yw'r rheswm am hynny a beth yw'r cam gweithredu gorau. Yn dilyn yr arfarniad hwnnw fe nodwyd fod angen cyfyngiad pwysau bellach ar 20 ased. Mae'r Cyngor hefyd yn defnyddio'r wybodaeth hon i gynorthwyo symudiad llwyth anarferol yn ddiogel ar draws y wlad ac mae hysbysiadau o symudiadau o'r fath yn cael eu monitro'n ddyddiol. Bydd y gorchmynion cyfyngiadau pwysau ffurfiol yn helpu i roi gorfodaeth ar symudiadau llwyth anarferol.

Mae'r prosiect Ôl-groniad yn cynnwys ymdrin â diffygion DIFRIFOL mewn dros 60 o asedau sydd ar draws y wlad. Os yw'r holl risgiau presennol yn cael eu gwireddu, yna gallai gwerth y gwaith hwnnw, os yw'n cael ei atgyweirio i fod yn union yr un fath fod yn fwy na £14miliwn. Mae'n bwysig cydnabod nad y ffigwr gronol gyfan ar gyfer yr Isadeiledd Adeiledd Priffyrdd cyfan yw hyn ond y rhai sy'n golygu risg o gyfyngiad i'r briffordd neu ei gau o fewn y deng mlynedd nesaf.

Drwy ddefnyddio barn beirianyddol, derbyn rhai cyfyngiadau pwysau/lled, a gwella pa mor effeithiol rydym yn darparu ein gwasanaethau, yna'r buddsoddiad cyfalaf yr amcangyfrifir sydd ei angen i ymdrin â'r risgiau presennol sy'n ymwneud ag asedau Ôl-groniad Adeiledd y Priffyrdd yw £6.059miliwn. Mae Adeileddau Priffyrdd yn tueddu i fod yn asedau sy'n para'n hir ac ystyrir y gellir gwneud y gwaith hwn dros gyfnod o 10 mlynedd. Yn wir, mewn nifer o achosion, mae'n well ac yn aml yn ddefnydd llawer mwy cost effeithiol o arian i gymryd nifer o flynyddoedd i gwblhau pob prosiect, gan y gall hen adeiledd carreg gymryd sawl blwyddyn i sychu.

Mae cyllideb y prosiect yn gofyn am nifer o dechnegau arbed arian. Mae'r rhain yn cynnwys "Peirianeg Gwerth". Felly yn hytrach nac ailadeiladu elfen sy'n methu bydd datrysiadau eraill yn cael eu canfod. Bydd y technegau hyn yn cynnwys adeiladu bwtresi, gosod platiau patress ayb. Hefyd rydym yn bwriadu recriwtio

arolygwyr a gyflogir yn uniongyrchol i sicrhau nad ydym mwyach yn talu cyfraddau ymgynghoriaeth ar gyfer gwaith arferol sy'n rhagweladwy ac y gellir ei wneud yn hawdd drwy ddefnyddio staff wedi eu hyfforddi'n fewnol.

5. Sut mae'r penderfyniad yn cyfrannu at y Blaenoriaethau Corfforaethol?

Mae sicrhau priffyrdd "Agored a Diogel" yn cyfrannu at y Blaenoriaethau Corfforaethol drwy gynorthwyo datblygiad yr economi leol a gwella ein ffyrdd. Hefyd bydd y Prosiect Ôl-groniad yn galluogi'r cyngor i ddangos i'r cwsmeriaid sut mae'n cyflwyno arbedion effeithlonrwydd a gwella gwasanaethau.

6. Faint fydd yn ei gostio a sut fydd yn effeithio ar wasanaethau eraill?

Bydd gweithredu Prosiect Ôl-groniad Adeiledd Priffyrdd yn golygu mwy o adnoddau. Disgwylir y bydd yn cynyddu cyllideb Refeniw Adeileddau Priffyrdd yn flynyddol o'r £145,000 presennol i £245,000. Hefyd disgwylir i'r hyn mae Adeileddau Priffyrdd yn ei dderbyn o gyllideb Cyfalaf Bloc Priffyrdd i gynyddu i £320,000 y flwyddyn, am gyfnod y Prosiect Ôl-groniad sef 10 mlynedd. Yn olaf, bydd angen £2.86miliwn yn ychwanegol o arian cyfalaf i gwblhau'r prosiect, eto dros gyfnod o ddeng mlynedd, a'r elfen hon o'r prosiect oedd testun Cais Cyfalaf i'r Grŵp Buddsoddi Strategol yn Rhagfyr 2016. Mae taenlen ynghlwm i ddangos dadansoddiad o'r arian a'r prosiectau o fewn y Prosiect Ôl-groniad.

Er mwyn cynorthwyo gweithredu'r prosiect y bwriad yw recriwtio tri aelod ychwanegol o staff. Bydd prentis adeiladu ychwanegol yn cael ei recriwtio i gynorthwyo gweithredu'r Prosiect Ôl-groniad a'r gwaith Cynnal a Chadw Ataliol sydd wedi ei gynllunio hefyd. Bydd Prentis arall yn cael ei recriwtio i gynorthwyo o ran archwilio ein hasedau a'r ychwanegiad olaf fydd rôl ddeuol Goruchwyliwr/Arolygydd. Ar ôl recriwtio'r staff ychwanegol hyn bydd y tîm o weithwyr adeiladu yn gyfystyr â 3 mewn nifer a byddant yn cael eu cyflogi i weithio'n uniongyrchol ar Adeileddau Priffyrdd o ganol Mawrth hyd ganol Tachwedd bob blwyddyn ac yna byddant ar gael i dderbyn secondiad i'n timau Strydwedd i gynorthwyo yn eu gweithgareddau gaeafol. Bydd y staff ychwanegol hefyd yn galluogi codi'r nifer o arolygwyr sy'n cael eu cyflogi'n uniongyrchol o 1 i 3. Bydd cynnal mwy o archwiliadau "mewnol" yn rhyddhau mwy o arian o'n cyllideb Ymgynghoriaeth i'w wario ar gynnal a chadw a gwaith cyfalaf yn y dyfodol, ac mae hyn yn rhan bwysig o'n strategaeth ddeng mlynedd.

Fel Awdurdod Priffyrdd mae dyletswydd statudol ar y Cyngor i gynnal ei briffordd gyhoeddus, o dan Ddeddf Priffyrdd 1980, h.y. mae'n rhaid iddo fod yn "Addas i'r Diben".

Gall cyfyngiadau pwysau a chau ffyrdd gael effaith gwirioneddol ar y boblogaeth gyhoeddus yn nhermau ymateb i argyfwng, niwed economaidd a cholli'r gallu i gystadlu. Hefyd gall yr amgylchedd ddiodef niwed wrth i lwybrau dargyfeirio hir gael ei dilyn, gan gynyddu llygredd i'r atmosffer. Bydd gweithredu'r Prosiect Ôl-groniad yn galluogi i'r rhan fwyaf o'r cyfyngiadau pwysau i gael eu symud, a lleihau'r nifer o gyfyngiadau pwysau yn y dyfodol yn sylweddol.

7. Beth yw prif gasgliadau'r Asesiad o Effaith ar Les? Gellir lawrlwytho adroddiad yr Asesiad o Effaith ar Les oddi ar y wefan, a dylai gael ei gynnwys fel atodiad i'r adroddiad hwn

Bydd cynnal y rhwydweithiau priffyrdd presennol yn cynorthwyo gyda:

- Cynnal cysylltiad diwylliannol.
- Cynnal llwybrau mewn argyfwng.
-
- Amddiffyn yr hyder economaidd o fewn y gymuned

8. Pa ymgynghoriadau a gynhaliwyd gydag Archwilio ac eraill?

Mae nifer o ymgynghoriadau cyhoeddus wedi eu cynnal dros y 6 mis diwethaf gyda chymunedau lleol i asesu effaith lleol y cyfyngiadau pwysau arfaethedig ar 20 o bontydd a muriau cynhaliol.

9. Datganiad y Prif Swyddog Cyllid

Bydd gwariant arfaethedig a nodir yn yr adroddiad yn cael a dylai gael ei gynnwys o fewn naill ai dyraniadau cyfalaf presennol neu cyllideb refeniw yr Adran Priffyrdd a'r Amgylchedd ar gyfer 2017/18. Fodd bynnag, gall yr ymrwymiad effeithio ar allu'r gwasanaeth i wneud arbedion effeithlonrwydd gofynnol yn y dyfodol, a thrwy hynny yn arwain at yr angen i ganfod rhagor o arbedion o fewn y gwasanaeth er mwyn diwallu targedau arbedion y cyngor.

10. Pa risgiau sydd ac a oes unrhyw beth y gallwn ei wneud i'w lleihau?

Mae nifer o risgiau yn gysylltiedig â gweithredu prosiect o'r fath:

- Y cyfnod o amser ac a fydd arian yn parhau. Gan y gall nifer o'r prosiectau gymryd rhai hafau i'w cwblhau, ni ellir gwarantu sicrwydd cyllidebau. Mae methu parhau â phrosiect penodol sydd wedi ei ddechrau yn golygu fod bron yr holl arian sydd eisoes wedi ei wario yn cael ei wastraffu, oherwydd heb gwblhau briff y prosiect cyfan, yna ni fydd y budd a ddymunwyd yn cael ei gyflawni a bydd y risgiau'n parhau.
- Y cyfnod o amser ac a fydd staff yn parhau. Wrth ymdrin â phrosiect hir mae'n bwysig cadw Gwybodaeth Fel yr Adeiledir a bod arferion rheoli prosiect da yn cael eu dilyn. Bydd hyn yn galluogi trosglwyddo llyfn os oes symudiadau staff yn y dyfodol, drwy gydol cyfnod 10 mlynedd y prosiect.
- Gweithio dros ddŵr. Mae gweithio dros sianeli dŵr yn golygu mai dim ond yn ystod misoedd yr haf y mae'n ddoeth i weithio yn y rhan fwyaf o achosion, ac mae hyn felly'n lleihau'r cyfnod o amser sydd ar gael yn ystod un flwyddyn ac felly'n cynyddu costau gosod adnoddau/tynnu adnoddau.
- Bydd angen caniatâd ar gyfer y rhan fwyaf o'r gwaith ac felly mae blaen gynllunio yn hynod bwysig i sicrhau fod cyrff fel CADW a Cyfoeth Naturiol Cymru yn cael amser digonol i wneud eu gweithgareddau, cyn i'r cyllidebau adeiladu gael eu gosod.
- Perchnogion trydydd parti. Mae rhai pontydd yn eiddo i berchnogion trydydd parti, tra bod angen mynediad i dir trydydd parti ar brosiectau eraill. Fel yr Awdurdod

Priffyrdd, mae Cyngor Sir Ddinbych yn wynebu risg os yw'r trydydd parti yn mabwysiadu ymagwedd o wrthdaro.

- Rheoli Traffig Dros Dro. Mae angen i'r gwaith gael ei wneud mewn dull sy'n ystyried defnyddiwr y Briffordd gan geisio cadw unrhyw ymyrraeth ar y traffig i'r lefel isaf.
- Mae'n bosib nad yw'r defnydd o Gontractau Tymor am hyd cyfan y prosiect yn darparu'r gwerth gorau am arian o ystyried hyd y prosiect o ran amser, felly byddai angen drafftio protocol caffael addas i ystyried yr amserlen aml brosiect ddeng mlynedd.
- Mae rhai prosiectau yn gofyn am staff gyda sgiliau, a gall eu harbenigedd fod yn brin. Mae methu cael y sgiliau hyn pan fo angen yn golygu y gellir bod risg i'r rhaglen.

11. Pŵer i wneud y Penderfyniad

- Deddf Priffyrdd 1980
- Mae Adran 7.2.3 o Gyfansoddiad y Cyngor yn nodi y gall Archwilio ystyried unrhyw fater sy'n effeithio ar ardal neu drigolion y Cyngor.

Swyddog Cyswllt:

Uwch Beiriannydd Gwasanaethau Priffyrdd ac Amgylcheddol
Ffon: 01824 706745

Mae tudalen hwn yn fwriadol wag

Highway Structures Backlog Project

Wellbeing Impact Assessment Report

This report summarises the likely impact of a proposal on the social, economic, environmental and cultural well-being of Denbighshire, Wales and the world.

Assessment Number:	123
Brief description:	The condition of numerous highway structures throughout the county is now such that numerous assets throughout the county require a weight restriction. This project is to address that backlog of work and reduce the number of affected assets within the county
Date Completed:	03/01/2017 16:09:15 Version: 2
Completed By:	James Hall
Responsible Service:	Highways & Environmental Services
Localities affected by the proposal:	Whole County,

IMPACT ASSESSMENT SUMMARY AND CONCLUSION

Before we look in detail at the contribution and impact of the proposal, it is important to consider how the proposal is applying the sustainable development principle. This means that we must act "in a manner which seeks to ensure that the needs of the present are met without compromising the ability of future generations to meet their own needs."

Score for the sustainability of the approach

Could some small changes in your thinking produce a better result?

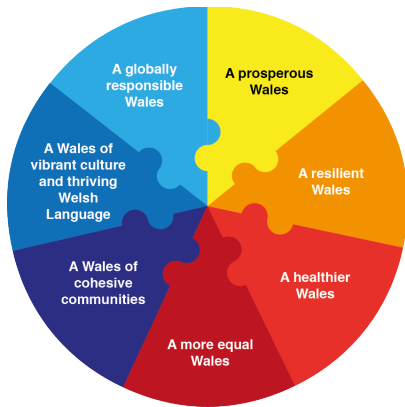
(3 out of 4 stars)



Actual score: 20/ 24.

Summary of impact

Wellbeing Goals



A prosperous Denbighshire	Positive
A resilient Denbighshire	Positive
A healthier Denbighshire	Positive
A more equal Denbighshire	Positive
A Denbighshire of cohesive communities	
A Denbighshire of vibrant culture and thriving Welsh language	Positive
A globally responsible Denbighshire	Positive

Main conclusions

Maintaining highway infrastructure will preserve cultural and economic connectivity throughout the county and to the greater area.

It will ensure that emergency provision is maintained throughout the county.

It will preserve the economic prosperity of the county.

THE LIKELY IMPACT ON DENBIGHSHIRE, WALES AND THE WORLD

A prosperous Denbighshire

Overall Impact:	Positive
Justification for Impact:	Maintaining an open and safe highway network will ensure economic and cultural ties are maintained throughout the county

Positive consequences identified:

Will negate the need for lengthy diversionary routes

Will negate the need for lengthy diversionary routes, thus affecting productivity and competitiveness

The project will employ many persons during its execution. It will also ensure that existing business' remain competitive.

Two apprentice positions will be created by implementing this proposal

Without a resilient highway structure infrastructure important links throughout the community will be severed or severely restricted. Supporting this project will see those existing links maintained.

Without implementing this project, educational transport will undoubtedly be affected by carriageway restrictions and closures

Unintended negative consequences identified:

Maintaining present economic growth may see an increase in travel throughout the county

Mitigating actions:

By ensuring that the work is programmed sensitively to limit temporary traffic restrictions will assist greatly in limiting negative consequences

A resilient Denbighshire

Overall Impact:	Positive
Justification for Impact:	Resilience will be increased as highway routes and connectivity will be will be maintained

Positive consequences identified:

Works to address flood capacity of some structures will have a very positive influence upon the natural environment during times of flood

Environmental assessments will be carried out to determine whether the environment is affected on a site by site basis.

Listed structures and scheduled monuments will be preserved and protected for future generations

Preserving existing assets will make a substantial impact in terms of ensuring that the life expectancy of assets is increased, thus reducing new build

Ensuring that highway restrictions / closures are short lived, will reduce fuel consumption that the lengthy diversionary routes will require

Local consultation about the impact to local communities has already begun. For the larger schemes it is thought that interpretation boards will be employed to explain how the project benefit the environment and how DCC intend to preserve it's historic infrastructure.

Addressing the flood capacity of some of our assets will assist in the overall flood risk management throughout the county. It will also ensure that the counties highway network is more resilient during sever flood incidents and less roads will be closed during periods of high water as a consequence, thus ensuring emergency routes are kept.

Unintended negative consequences identified:

Pointing a crumbling masonry structure does sometimes remove habitats for animals such as bats. In such instances this impact will be considered and relevant mitigation will be implemented.

Maintaining the existing highway alignment means that potential reduction of fuel consumption by considering more relevant shorter routes will lost

Mitigating actions:

Intervening in a timely manner before closing roads is the prime motive for this project.

Limiting the length of time required for temporary traffic management is also very important. Working sensitively with the structures at times of least risk,(ie summer) will also ensure minimum disruption.

A healthier Denbighshire

Overall Impact:	Positive
Justification for Impact:	The existing health infrastructure within Denbighshire and North Wales has developed based upon the current highway network in terms of providing a physical access / connection to the health service for the county. Adding considerable travelling time to those links could have very detrimental consequences.

Positive consequences identified:

Connectivity will maintain social interaction between communities

An open and safe highway network will maintain access to the local agricultural economy.

Maintaining a viable highway network will provide connectivity to Areas of outstanding natural beauty and thus reduce leisure activities such as walking and mountain biking

Connectivity will maintain peoples vital physical links to emergency and health services

Maintain emergency connectivity.

Ensure that healthcare is local

Unintended negative consequences identified:

Mitigating actions:

Keep people informed as to what we are attempting to do.

Limit temporary disruption

A more equal Denbighshire

Overall Impact:	Positive
Justification for Impact:	A connected population to existing health services will maximise the efficiency of those services.

Positive consequences identified:

The proposed scheme will assist all of society however maintaining existing connectivity and access to services will assist people with protected characteristics, the most.

Maintaining highway connectivity will ensure that health inequalities are minimised.

Maintaining highway connectivity will ensure that existing commercial advantages remain in place

Unintended negative consequences identified:

Mitigating actions:

A Denbighshire of cohesive communities

Overall Impact:	
Justification for Impact:	Emergency links will be preserved and the feeling of isolationism will be negated, by maintaining the highway links, throughout the county

Positive consequences identified:

Maintaining highway infrastructure will reduce the feeling of isolationism
Maintaining the highway infrastructure will maintain existing emergency routes and response times
Consultation about the impact of temporary weight restrictions has already taken place.
The historic landscape of the Counties highway structure infrastructure will be preserved
Connectivity to areas of outstanding natural beauty will be maintained

Unintended negative consequences identified:

Ease of access across the county will assist those who wish to take advantage of that infrastructure for illicit purposes.
Temporary disruption will be unavoidable
Numbers who have access to areas of outstanding natural beauty will be maintained, thus maintaining the current rate of interference upon the local landscape

Mitigating actions:

Ensure that temporary disruption is reduced to an absolute minimum at all times.
Consider alternative solutions to minimise disruption

A Denbighshire of vibrant culture and thriving Welsh language

Overall Impact:	Positive
Justification for Impact:	The project will preserve historic infrastructure It will preserve cultural links which will promote face to face contact thus enabling the growth of the Welsh language between communities.

Positive consequences identified:

Maintaining highway links will assist the public to travel and be exposed to more Welsh society
Maintaining the local highway infrastructure, will assist in the face to face connectivity necessary to promote the Welsh language
The project will preserve many listed and scheduled monuments.
The project will preserve cultural links across the county

Unintended negative consequences identified:

Mitigating actions:

A globally responsible Denbighshire

Overall Impact:	Positive
Justification for Impact:	Should the existing highway infrastructure be closed / restricted then the impact upon both the local will be significant. The larger the number of closures / restrictions that allowed to occur then the wider impact will also grow.

Positive consequences identified:

The closure / restriction of the local highway infrastructure will have a dramatic effect on the economic well being of the immediate area and the greater supply chain

Closure / restriction of the local highway infrastructure will have an immediate impact upon almost every area of the services provision within specific areas

Unintended negative consequences identified:

Mitigating actions:

Implement the project in full

ensure that each project considers the minimisation of temporary disruption

Consult with local populations and businesses with a view of minimising the impact of the projects.

Mae tudalen hwn yn fwriadol wag

Adroddiad i'r:	Pwyllgor Archwilio Perfformiad
Dyddiad y Cyfarfod:	26 Ionawr 2017
Swyddog Arweiniol:	Cydlynnydd Archwilio
Awdur yr Adroddiad:	Cydlynnydd Archwilio
Teitl:	Rhaglen Waith Archwilio

1. **Am beth mae'r adroddiad yn sôn?**

Mae'r adroddiad yn cyflwyno drafft rhaglen waith i'r dyfodol y Pwyllgor Archwilio Perfformiad i'r aelodau ei hystyried.

2. **Beth yw'r rheswm dros lunio'r adroddiad hwn?**

Gofyn i'r Pwyllgor adolygu a chytuno ar ei raglen waith i'r dyfodol, a rhoi'r wybodaeth ddiweddaraf i aelodau ar faterion perthnasol.

3. **Beth yw'r Argymhellion?**

Bod y Pwyllgor yn ystyried yr wybodaeth a ddarparwyd ac yn cymeradwyo, diwygio neu'n newid ei raglen gwaith i'r dyfodol fel y gwêl yn briodol.

4. **Manylion am yr adroddiad.**

- 4.1 Mae Adran 7 o Gyfansoddiad Cyngor Sir Ddinbych yn nodi cylch gorchwyl, swyddogaethau ac aelodaeth pob Pwyllgor Archwilio. Mae'r Adran hon hefyd yn cynnwys rheolau gweithdrefnau a thrafodaeth ar gyfer cyfarfodydd pwyllgorau.
- 4.2 Mae'r Cyfansoddiad yn amodi bod yn rhaid i bwyllgorau archwilio'r Cyngor baratoi ac adolygu rhaglen ar gyfer eu gwaith i'r dyfodol. Drwy adolygu a blaenoriaethu materion mae modd i aelodau sicrhau fod y rhaglen waith yn cyflwyno rhaglen dan arweiniad yr aelodau.
- 4.3 Arfer sydd wedi'i fabwysiadu yn Sir Ddinbych ers nifer o flynyddoedd yw bod pwyllgorau archwilio'n cyfyngu ar nifer yr adroddiadau a ystyrir mewn unrhyw gyfarfod i uchafswm o bedwar, yn ogystal ag adroddiad rhaglen waith y Pwyllgor ei hun. Nod y dull hwn yw hwyluso cael trafodaeth fanwl ac effeithiol ar bob pwnc.
- 4.4 Yn y blynyddoedd diweddar mae Llywodraeth Cymru a Swyddfa Archwilio Cymru wedi tynnu sylw at yr angen i gryfhau rôl archwilio ar draws llywodraeth leol a gwasanaethau cyhoeddus yng Nghymru, gan

gynnwys defnyddio archwilio fel modd o ymgysylltu â phreswylwyr a defnyddwyr gwasanaeth. Wrth fynd ymlaen disgwylir i archwilio ymgysylltu'n well ac yn amlach â'r cyhoedd gyda golwg ar sicrhau penderfyniadau gwell a fydd yn y pen draw yn arwain at well canlyniadau i ddinasyddion. Yn y dyfodol, bydd Swyddfa Archwilio Cymru yn mesur effeithiolrwydd archwilio wrth gyflawni'r disgwyliadau hyn.

4.5 Gan ystyried y weledigaeth genedlaethol ar gyfer archwilio ac ar yr un pryd ganolbwyntio ar flaenoriaethau lleol, mae'r Grŵp Cadeiryddion ac Is-gadeiryddion Archwilio (GCIGA) wedi argymhell y dylai pwyllgorau archwilio'r Cyngor, wrth benderfynu ar eu rhaglenni gwaith, ganolbwyntio ar y meysydd allweddol canlynol:

- arbedion ar y gyllideb;
- cyflawni amcanion y Cynllun Corfforaethol (gyda phwyslais arbennig ar y modd o'u cyflawni yn ystod cyfnod o galedi ariannol);
- unrhyw eitemau eraill a gytunwyd gan y Pwyllgor Archwilio (neu'r GCIGA) fel blaenoriaeth uchel (yn seiliedig ar y meini prawf profion 'PAPER' - gweler ochr gefn y 'ffurflen gynnig aelodau' yn Atodiad 2) a;
- Materion brys, materion na ellir eu rhagweld neu faterion â blaenoriaeth uchel

Ffurflenni Cynnig ar gyfer Archwilio

4.6 Fel y crybwyllwyd ym mharagraff 4.2 uchod, mae Cyfansoddiad y Cyngor yn gofyn i bwyllgorau archwilio baratoi ac adolygu rhaglen ar gyfer eu gwaith i'r dyfodol. Er mwyn cynorthwyo'r broses o flaenoriaethu adroddiadau, os yw'r swyddogion o'r farn fod pwnc yn haeddu'r amser i gael ei drafod ar agenda fusnes y Pwyllgor, mae'n rhaid iddynt wneud cais ffurfiol i'r Pwyllgor i ystyried derbyn adroddiad ar y pwnc hwnnw. Gwneir hyn trwy gyflwyno 'ffurflen gynnig' sy'n egluro pwrpas, pwysigrwydd a chanlyniadau posibl y pynciau a awgrymir. Mae un ffurflen gynnig wedi dod i law oddi wrth swyddog i'w ystyried yn y cyfarfod cyfredol, mae hon yn ymwneud â Pherfformiad y Gwasanaeth Llyfrgelloedd yn erbyn y Safonau Cenedlaethol (gweler Atodiad 2(a))

4.7 Er mwyn gwneud gwell defnydd o amser archwilio drwy ganolbwyntio adnoddau pwyllgorau i archwilio testunau'n fanwl, gan ychwanegu gwerth drwy'r broses o wneud penderfyniadau a sicrhau gwell canlyniadau ar gyfer preswylwyr, penderfynodd y GCIGA y dylai'r aelodau, yn ogystal â swyddogion, gwblhau 'ffurflenni cynnig ar gyfer archwilio' yn amlinellu pam eu bod yn credu y byddai'r testun yn elwa o fewnbwn archwilio. Gellir gweld copi o 'ffurflen gynnig' yn Atodiad 2. Mae ochr gefn y ffurflen hon yn cynnwys siart lif sy'n rhestru'r cwestiynau y dylai aelodau eu hystyried wrth baratoi i gynnig eitem ar gyfer archwilio, ac y dylai pwyllgorau eu gofyn wrth benderfynu ar addasrwydd testun arfaethedig i'w gynnwys ar raglen gwaith i'r dyfodol

archwilio. Os, ar ôl cwblhau'r broses hon, y penderfynir nad yw'r testun yn addas i'w archwilio'n ffurfiol gan bwyllgor archwilio, yna gellir ystyried dulliau eraill o rannu'r wybodaeth neu archwilio'r mater e.e. darparu 'adroddiad gwybodaeth', neu os yw'r mater yn un o natur leol gellir ei archwilio gan y Grŵp Aelodau Ardal (GAA) perthnasol. Yn y dyfodol ni fydd unrhyw eitemau'n cael eu cynnwys ar raglen gwaith i'r dyfodol heb i 'ffurflen gynrig ar gyfer archwilio' gael ei chwblhau, ac i'r testun gael ei gymeradwyo i'w gynnwys ar y rhaglen gan un ai'r Pwyllgor neu'r GCIGA. Mae cymorth ar gael i lenwi'r ffurflenni gan y Cydlynnydd Archwilio.

Rhaglen Waith i'r Dyfodol y Cabinet

- 4.8 Wrth benderfynu ar eu rhaglen waith i'r dyfodol mae'n bwysig fod pwyllgorau archwilio yn ystyried amserlen rhaglen waith y Cabinet. Ar gyfer y diben hwn, mae rhaglen waith y Cabinet wedi ei chynnwys yn Atodiad 3.
- 4.9 Mae cyfarfod olaf y Pwyllgor cyn yr etholiadau lleol i'w gynnal dydd Iau, 27 Ebrill 2017, wythnos union cyn yr etholiadau. Oherwydd fod y dyddiad hwn mor agos i ddyddiad yr etholiadau gofynnir i'r Pwyllgor ystyried os yw am fwrw ymlaen gyda'r trefniadau i gynnal y cyfarfod ar y diwrnod hwnnw. Gwnaed ymholiadau, ac mae modd cyflwyno'r eitem fusnes a restrwyd ar gyfer y cyfarfod i'r cyfarfod blaenorol ar 16 Mawrth 2017.

5. Grŵp Cadeiryddion ac Is-Gadeiryddion Archwilio

Dan drefniadau archwilio'r Cyngor mae'r Grŵp Cadeiryddion ac Is-Gadeiryddion Archwilio (GCIGA) yn gweithredu fel pwyllgor cydlynu. Cyfarfu'r Grŵp ar 17 Ionawr 2017. Ni chyfeiriwyd unrhyw eitemau ar gyfer ystyriaeth y pwyllgor.

6. Sut mae'r penderfyniad yn cyfrannu at y Blaenoriaethau Corfforaethol?

Bydd archwilio effeithiol yn gymorth i'r Cyngor gynnal y blaenoriaethau corfforaethol yn unol ag anghenion cymunedau a dymuniadau trigolion. Bydd datblygu ac adolygu'r rhaglen waith gydlynol yn barhaus yn cynorthwyo'r Cyngor i ddarparu ei flaenoriaethau corfforaethol, i wella canlyniadau i breswylwyr tra hefyd yn dygymod â thoriadau llym yn y gyllideb.

7. Faint fydd hyn yn costio a sut bydd yn effeithio ar wasanaethau eraill?

Mae'n bosib y bydd yn rhaid i wasanaethau neilltuo amser swyddog i gynorthwyo'r Pwyllgor gyda'r eitemau a nodwyd yn y rhaglen waith a chydag unrhyw gam gweithredu yn dilyn ystyried yr eitemau hynny.

8. Beth yw prif gasgliadau'r Aseiad o Effaith ar Les? Gellir lawrlwytho'r adroddiad Aseiad o Effaith ar Les o'r [wefan](#) a dylai gael ei gynnwys fel atodiad i'r adroddiad hwn

Nid oes Aseiad o Effaith ar Les wedi ei wneud mewn perthynas â phwrpas neu gynnwys yr adroddiad hwn. Ond bydd y Pwyllgor Archwilio, drwy ei waith yn archwilio darpariaeth gwasanaethau, polisïau, gweithdrefnau ac argymhellion, yn ystyried eu heffaith neu eu heffaith posib ar yr egwyddor o ddatblygu cynaliadwy a'r amcanion o ran lles a nodir yn Neddf Llesiant Cenedlaethau'r Dyfodol (Cymru) 2015.

9. Pa ymgynghori sydd wedi digwydd?

Does dim angen cynnal ymgynghoriad ar yr adroddiad hwn. Fodd bynnag, mae'r adroddiad ei hun a'r ystyriaeth a roir gan y Pwyllgor i'w raglen waith ar gyfer y dyfodol yn gyfystyr ag ymgynghoriad gyda'r Pwyllgor o ran ei raglen waith.

10. Pa risgiau sy'n bodoli ac a oes unrhyw beth y gallwn ei wneud i'w lleihau?

Nid oes risg wedi ei ganfod o ran y Pwyllgor yn ystyried ei raglen waith. Fodd bynnag, wrth adolygu ei raglen waith yn rheolaidd gall y Pwyllgor sicrhau bod meysydd sy'n peri pryder yn cael eu hystyried a'u harchwilio fel y maent yn dod i'r amlwg a bod argymhellion yn cael eu gwneud er mwyn mynd i'r afael â nhw.

11. Grym i wneud Penderfyniad

Mae Adran 7.11 o Gyfansoddiad y Cyngor yn amodi fod y pwyllgorau Archwilio a/neu Grŵp Cadeiryddion ac Is-Gadeiryddion Archwilio yn gyfrifol am osod eu rhaglenni gwaith ac y dylent, pan yn penderfynu ar eu rhaglenni roi ystyriaeth i ddymuniadau yr Aelodau hynny o'r Pwyllgor nad ydynt yn aelodau o'r grŵp gwleidyddol mwyaf ar y Cyngor.

Swyddog Cyswllt:

Cydlynedd Archwilio

Rhif ffôn: (01824) 712554

e-bost: rhian.evans@sirddinbych.gov.uk

Note: Any items entered in italics have not been approved for inclusion at the meeting shown by the Committee. Such reports are listed here for information, pending formal approval.

Meeting	Lead Member(s)	Item (description / title)	Purpose of report	Expected Outcomes	Author	Date Entered
16 March	Cllr. Hugh Irving	1. Your Voice' complaints performance (Q 3)	To scrutinise Services' performance in complying with the Council's complaints. The report to include: (i) a comprehensive explanation on why targets have not been met when dealing with specific complaints, reasons for non-compliance, and measures taken to rectify the failures and to ensure that future complaints will be dealt with within the specified timeframe; and (ii) how services encourage feedback and use it to redesign or change the way they deliver services	Identification of areas of poor performance with a view to the development of recommendations to address weaknesses.	Mark Southworth/Clare O'Gorman/Meinir Blunt	September 2016
27 April	Cllr. Barbara Smith	1. Local Housing Strategy	To examine progress in delivering the 5 themes of the Local Housing Strategy	To support the delivery of the corporate priority relating to 'ensuring access to good quality housing'	Graham Boase/Jamie Groves/Angela Loftus	September 2016
8 June	TBA	1 Corporate Plan (Q4) 2016/17	To monitor the Council's progress in delivering the Corporate Plan 2012-17	Ensuring that the Council meets its targets, its Outcome	Alan Smith/Nicola Kneale	April 2016

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Meeting	Lead Member(s)	Item (description / title)		Purpose of report	Expected Outcomes	Author	Date Entered
					Agreements, delivers its Corporate Plan and the Council's services in line with its aspirations and to the satisfaction of local residents, and maximises the financial incentives available through meeting its Outcome Agreements		
	TBA	2.	Corporate Health and Safety Annual Report	To consider the Council's management of general health and safety and fire safety matters	Assurances that the Authority is abiding and conforming with all relevant H&S legislation and therefore mitigate the risk of litigation	Gerry Lapington	June 2016
	TBA (required)	3.	Draft Director of Social Services Annual Report for 2016/17	To scrutinise the content of the draft annual report to ensure it provides a fair and clear evaluation of performance in 2016/17 and clearly articulates future plans.	Identification of any specific performance issues which require further scrutiny by the committee in future	Nicola Stubbins/Mark Southworth	June 2016
	TBA	4	Your Voice' complaints performance (Q 4)	To scrutinise Services' performance in complying with the Council's complaints. The report to include: (i) a comprehensive explanation on why targets have not been met when dealing with specific complaints, reasons for non-	Identification of areas of poor performance with a view to the development of recommendations to address weaknesses.	Mark Southworth/Ben Chandler/Meinir Blunt	September 2016

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Meeting	Lead Member(s)	Item (description / title)		Purpose of report	Expected Outcomes	Author	Date Entered
				compliance, and measures taken to rectify the failures and to ensure that future complaints will be dealt with within the specified timeframe; and (ii) how services encourage feedback and use it to redesign or change the way they deliver services			
13 July	TBA	1.	Corporate Risk Register	To consider the latest version of the Council's Corporate Risk Register	Effective monitoring and management of identified risk to reduce risks to residents and the Authority	Alan Smith/Nicola Kneale	December 2015
28 September [GwE representatives to be invited]	TBA	1.	Provisional External Examinations and Teacher Assessments [Education]	To review the performance of schools and that of looked after children	Scrutiny of performance leading to recommendations for improvement	Karen Evans/Julian Molloy	July 2016
	TBA	2	Your Voice' complaints performance (Q 1) including social services annual complaints report	To scrutinise Services' performance in complying with the Council's complaints. The report to include: (i) a comprehensive explanation on why targets have not been met when dealing with specific complaints, reasons for non-compliance, and measures taken to rectify the failures and to ensure	Identification of areas of poor performance with a view to the development of recommendations to address weaknesses.	Mark Southworth/Ben Chandler/Meinir Blunt	September 2015

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Meeting	Lead Member(s)	Item (description / title)	Purpose of report	Expected Outcomes	Author	Date Entered
			that future complaints will be dealt with within the specified timeframe; and (ii) how services encourage feedback and use it to redesign or change the way they deliver services			
7 December						
January 2018 (GwE representatives to be invited)	TBA	1. Verified External Examinations and Teacher Assessments [Education]	To review the performance of schools and that of looked after children; and GwE's impact on the educational attainment of the County's pupils. The report to incorporate GwE's Annual report and information on the 5 year trend in relation to educational attainment in Denbighshire	Scrutiny of performance leading to recommendations for improvement	Karen Evans/Julian Molloy	July 2016
	TBA	2. Corporate Risk Register	To consider the latest version of the Council's Corporate Risk Register	Effective monitoring and management of identified risk to reduce risks to residents and the Authority	Alan Smith/Nicola Kneale	December 2015

Future Issues

Item (description / title)	Purpose of report	Expected Outcomes	Author	Date Entered
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Impact of Budgetary Cuts on the Deliverability of the Corporate Plan and the Council's performance in delivering services (periodically) [Task & Finish Group]	To detail the impact of present and projected budgetary cuts on the deliverability of the Corporate Plan 2012-17; and the Council's overall performance	An evaluation of the Plan's deliverability, the anticipated impact of the cuts on the Council's performance versus the actual outcome to inform the planning of a communication strategy to inform residents and stakeholders	Task and Finish Group	October 2014
Implementation of the Donaldson Report 'Successful Futures' – Independent Review of Curriculum and Assessment Arrangements in Wales [Education] Dependent upon the legislative timetable	To consider and monitor the plans to implement the agreed measures adopted by WG following the consultation on the review's findings	Better outcomes for learners to equip them with jobs market skills	Karen Evans	April 2015
(Following local authority elections) Update on options appraisals for In-house care services. [WIA required]	To consider the results of the analysis undertaken with respect to potential options for future provision of the services	Formulation of recommendations with respect to the future provision of the services for submission to Cabinet	Phil Gilroy	June 2016

Information/Consultation Reports

Date	Item (description / title)	Purpose of report	Author	Date Entered
Monthly Information Bulletin	Your Voice Complaints Procedure	Details of number of complaints received and dealt with for each Service via the 'Your Voice' procedure to inform the information required in the quarterly reports to the Committee	Mark Southworth/Ben Chandler/Meinir Blunt	June 2014
September 2016 & March 2017 [Information]	Corporate Plan (Q1 & Q3) 2016/17 To monitor the Council's progress in delivering the Corporate Plan 2012-17	Ensuring that the Council meets its targets, its Outcome Agreements, delivers its Corporate Plan and the Council's services in line with its aspirations and to the satisfaction of local residents, and maximises the financial incentives available through meeting its Outcome Agreements	Alan Smith/Nicola Kneale	April 2016

Note for officers – Committee Report Deadlines

Meeting	Deadline	Meeting	Deadline	Meeting	Deadline
16 March	2 March	27 April	13 April	8 June	25 May 2

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Updated 16/01/2017 RhE

Ffurflen Gynnig ar gyfer Rhaglen Gwaith i'r Dyfodol Archwilio	
ENW'R PWYLLGOR ARCHWILIO	
AMSERLEN I'W HYSTYRIED	
TESTUN	
Beth sydd angen ei graffu arno (a pham)?	
Ydi'r mater yn un o bwys i drigolion/busnesau lleol?	YDI/NAC YDI
Ydi craffu yn gallu dylanwadu ar bethau a'u newid? (Os 'ydi' nodwch sut rydych chi'n meddwl y gall craffu ddylanwadu neu newid pethau)	YDI/NAC YDI
Ydi'r mater yn ymwneud â gwasanaeth neu faes sy'n tanberfformio?	YDI/NAC YDI
Ydi'r mater yn effeithio ar nifer fawr o drigolion neu ardal fawr o'r Sir? (Os 'ydi', rhowch syniad o faint y grŵp neu'r ardal yr effeithir arni)	YDI/NAC YDI
Ydi'r mater yn gysylltiedig â blaenoriaethau corfforaethol y Cyngor? (Os 'ydi' nodwch pa flaenoriaethau)	YDI/NAC YDI
Hyd y gwyddoch, oes yna rywun arall yn edrych ar y mater hwn? (Os 'oes', nodwch pwy sy'n edrych arno)	OES/NAC OES
Os derbynnir y testun ar gyfer craffu, pwy fyddai arnoch chi eisiau eu gwahodd e.e. Aelod Arweiniol, swyddogion, arbenigwyr allanol, defnyddwyr y gwasanaeth?	
Enw'r Cynghorydd/Aelod Cyfetholedig	
Dyddiad	

Ystyried addasrwydd pwnc ar gyfer craffu

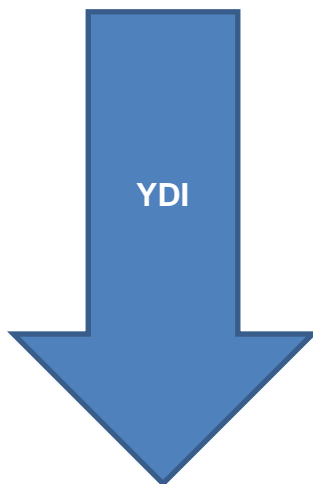
Ffurflen Gynnig / Cais a dderbyniwyd

(dylid rhoi ystyriaeth ofalus i'r rhesymau dros wneud cais)



Ydi o'n bodloni'r gofynion canlynol?

- **Diddordeb Cyhoeddus** – ydi'r mater o bwys i drigolion?
- **Effaith**– fedr craffu yn gael effaith ar bethau a'u newid?
- **Perfformiad** – ydi o'n wasanaeth neu faes sy'n tanberfformio?
- **Graddfa** – ydi o'n effeithio ar nifer o drigolion neu ardal ddaearyddol fawr?
- **Ailadrodd** – ydi'r mater yn destun craffu/ymchwiliad gan berson neu gorff arall?



Dim gweithredu pellach gan y Pwyllgor Archwilio. Gellir ei gyfeirio at gorff arall neu ofyn am adroddiad er gwybodaeth.

- Penderfynu ar y canlyniadau a ddymunir
- Penderfynu ar gwmpas a swmp y gwaith craffu sydd ei angen a'r dull mwyaf priodol o graffu (h.y. adroddiad pwyllgor, ymchwiliad grŵp tasg a gorffen neu aelod cyswllt ac ati)
- Os penderfynir sefydlu grŵp tasg a gorffen, dylid penderfynu ar amserlen yr ymchwiliad, pwy fydd yn rhan o'r ymchwiliad, beth yw'r gofynion ymchwilio, a oes angen cyngor arbenigol a thystion, a beth yw'r trefniadau adrodd ac ati.

PROPOSAL FORM FOR AGENDA ITEMS FOR SCRUTINY COMMITTEES		
NAME OF SCRUTINY COMMITTEE	Performance Scrutiny	
DATE OF MEETING / TIMESCALE FOR CONSIDERATION	March 2017	
TITLE OF REPORT	Library Service Standards and Performance	
P U R P O S E	1. Why is the report being proposed? (see also the checklist overleaf)	- To highlight Denbighshire's performance against National Standards and to seek Members' approval to the ongoing development of libraries as community hubs.
	2. What issues are to be scrutinised?	- Library Service performance against National Standards - Ongoing development of libraries as community hubs and Council One Stop Shops.
	3. Is it necessary/desirable for witnesses to attend e.g. lead members, officers/external experts?	- Lead Member for Customers and Libraries - Head of Customers Communication and Marketing - Principal Librarian - Service Improvement Manager
	4. What will the committee achieve by considering the report?	- Awareness of Library Service performance against National Standards - Update of recent developments & future plans for libraries as community hubs.
	5. Score the topic from 0 – 4 on aims & priorities and impact (see overleaf)*	Aims & Priorities
	3	4
ADDITIONAL COMMENTS		
REPORTING PATH – what is the next step? Are Scrutiny's recommendations to be reported elsewhere?	Scrutiny' recommendations and comments will be reported to Welsh Government - MALD (Museums Archives Libraries Division)	
AUTHOR	Arwyn Jones, Principal Librarian	

Please complete the following checklist:

	Yes	No
Is the topic already being addressed satisfactorily?		x
Is Scrutiny likely to result in service improvements or other measurable benefits?	x	
Does the topic concern a poor performing service or a high budgetary commitment?	x	
Are there adequate resources / realistic possibility of adequate resources to achieve the objective(s)?		
Is the Scrutiny activity timely, i.e. will scrutiny be able to recommend changes to the service delivery, policy, strategy, etc?	x	
Is the topic linked to corporate or scrutiny aims and priorities?	x	
Has the topic been identified as a risk in the Corporate Risk Register or is it the subject of an adverse internal audit or external regulator report?		x

*The following table is to be used to guide the scores given:

Score	Aims & Priorities	Impact
0	No links to corporate/scrutiny aims and priorities	No potential benefits
1	No links to corporate/scrutiny aims and priorities but a subject of high public concern	Minor potential benefits affecting only one ward/customer/client group
2	Some evidence of links, but indirect	Minor benefits to two groups/moderate benefits to one
3	Good evidence linking the topic to both aims and priorities	Moderate benefits to more than one group/substantial benefits to one
4	Strong evidence linking both aims and priorities, and has a high level of public concern	Substantial community-wide benefits

SCORING

Aims & Priorities

4	Possible topic for Scrutiny – to be timetabled appropriately	Priority topic for Scrutiny – for urgent consideration
3		
2	Reject topic for Scrutiny – topic to be circulated to members for information purposes	Possible topic for Scrutiny – to be timetabled appropriately
1		

0 1 2 3 4

Impact

Cabinet Forward Work Plan

Meeting	Item (description / title)		Purpose of report	Cabinet Decision required (yes/no)	Author – Lead member and contact officer
28 February	1	Finance Report	To update Cabinet on the current financial position of the Council	Tbc	Councillor Julian Thompson-Hill / Richard Weigh
	2	New Asset Management Strategy	Adoption of a new asset management strategy	Yes	Cllr Julian Thompson-Hill / Tom Booty
	3	Welsh Language Strategy	Consideration of a new Welsh Language Strategy	Yes	Councillor Huw Jones / Emlyn Jones
	4	DCC Wellbeing Objectives	To consider a report on the Council's Wellbeing Objectives	Tbc	Councillor Hugh Evans / Alan Smith
	5	Rhyl Waterfront Development: Phase 1b commercial elements	For Cabinet to approve the funding model for the commercial elements of the Hospitality Phase	Yes	Councillor Hugh Evans / Rebecca Maxwell
	6	Items from Scrutiny Committees	To consider any issues raised by Scrutiny for Cabinet's attention	Tbc	Scrutiny Coordinator
28 March	1	Finance Report	To update Cabinet on the current financial position of the Council	Tbc	Councillor Julian Thompson-Hill / Richard Weigh
	2	Items from Scrutiny Committees	To consider any issues raised by Scrutiny for	Tbc	Scrutiny Coordinator

Cabinet Forward Work Plan

Meeting	Item (description / title)		Purpose of report	Cabinet Decision required (yes/no)	Author – Lead member and contact officer
			Cabinet's attention		
25 April	1	Finance Report	To update Cabinet on the current financial position of the Council	Tbc	Councillor Julian Thompson-Hill / Richard Weigh
	2	Corporate Plan Performance Report 2016/17 Q3	To consider progress against the Corporate Plan	Tbc	Cllr Julian Thompson-Hill / Alan Smith
	3	Items from Scrutiny Committees	To consider any issues raised by Scrutiny for Cabinet's attention	Tbc	Scrutiny Coordinator
6 June	1	Finance Report	To update Cabinet on the current financial position of the Council	Tbc	Lead Member for Finance, Corporate Plan and Performance / Richard Weigh
	2	Corporate Plan Performance Report 2016/17 Q4	To consider progress against the Corporate Plan	Tbc	Lead Member for Finance, Corporate Plan and Performance / Alan Smith
	3	Items from Scrutiny Committees	To consider any issues raised by Scrutiny for Cabinet's attention	Tbc	Scrutiny Coordinator
18 July	1	Finance Report	To update Cabinet on the current financial position of the Council	Tbc	Lead Member for Finance, Corporate Plan and Performance / Richard Weigh

Cabinet Forward Work Plan

Meeting	Item (description / title)		Purpose of report	Cabinet Decision required (yes/no)	Author – Lead member and contact officer
	2	Items from Scrutiny Committees	To consider any issues raised by Scrutiny for Cabinet's attention	Tbc	Scrutiny Coordinator

Note for officers – Cabinet Report Deadlines

<i>Meeting</i>	<i>Deadline</i>	<i>Meeting</i>	<i>Deadline</i>	<i>Meeting</i>	<i>Deadline</i>
<i>January</i>	<i>10 January</i>	<i>February</i>	<i>14 February</i>	<i>March</i>	<i>14 March</i>

Updated 06/01/16 - KEJ

Cabinet Forward Work Programme.doc

Mae tudalen hwn yn fwiadol wag